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KEY TECHNOLOGY INC

Form 3/A

December 19, 2013

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement KEY TECHNOLOGY INC [KTEC] Zwerts Frank L. A. (Month/Day/Year) 03/01/2013 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 150 AVERY STREET 03/15/2013 (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Director 10% Owner _X_ Form filed by One Reporting _X_ Officer Other (give title below) (specify below) **WALLA** Form filed by More than One President, EMEIA WALLA. WAÂ 99362 Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities (Instr. 4) Beneficially Owned Ownership Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 65,042 (1) D 11,833 (2) (3) I Common Stock by Embla BVBA (3) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
			Derivative	Security:	

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Warrants	02/28/2014	03/01/2016	Common Stock	19,812	\$ 11.78	D	Â
Warrants	02/28/2014	03/01/2016	Common Stock	4,930 (4)	\$ 11.78	I	By Embla BVBA

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Zwerts Frank L. A. 150 AVERY STREET WALLA WALLA, WA 99362	ÂΧ	Â	President, EMEIA	Â		

Signatures

/s/ Frank L.A.
Zwerts

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The number of securities beneficially owned directly by Mr. Zwerts and reported in column 2 of Table I on the original Form 3 was overstated due to a mathematical error. Shares were originally reported as 112,584 shares. The actual shares acquired were 65,042. These

- (1) share numbers were also overstated on subsequent Forms 4 filed by the reporting person on July 7, 2013 and October 3, 2013. The number of shares held directly by Mr. Zwerts following the transactions reported on these Forms 4, but prior to the transfer described in footnote 3, was 101,353.
 - The number of securities beneficially owned indirectly by Mr. Zwerts through Embla BVBA and reported in column 2 of Table I on the original Form 3 was overstated due to a mathematical error. Shares were originally reported as 23,666. The actual shares acquired were
- (2) 11,833. These share numbers were also overstated on a subsequent Forms 4 filed by the reporting person on July 7, 2013 and mistakenly omitted entirely from the Form 4 filed on October 3, 2013. The number of shares held indirectly by Mr. Zwerts through Embla BVBA following the transactions reported on these Forms 4, but prior to the transfer described in footnote 3, was 11,833.
- All securities benefically owned indirectly by Mr. Zwerts through Embla BVBA and reported in column 2 of Table I were transferred to (3) Mr. Zwerts and are now beneficially owned directly by Mr. Zwerts. The total number of shares held directly by Mr. Zwerts following all transaction filed on Forms 4 is 113,186.
- The warrants for shares of common stock beneficially owned indirectly by Mr. Zwerts through Embla BVBA were 4,930 and were (4) reported in column 3 of Table II on the original Form 3. The warrants were transferred to Mr. Zwerts and are now beneficially owned directly by Mr. Zwerts. The total number of warrants held directly by Mr. Zwerts is 24,742.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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