ESSA Bancorp, Inc. Form 4 October 02, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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obligations

may continue.

See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Muto Allan A Issuer Symbol ESSA Bancorp, Inc. [ESSA] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X_ Officer (give title _ Other (specify 200 PALMER STREET 09/30/2014 below) EVP and CFO (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting STROUDSBURG, PA 18360 Person

| (City) | (State) (| Zip) Table | e I - Non-D | erivative (| Secur | ities Acq | quired, Disposed o | of, or Beneficial | lly Owned |
|--------------------------------------|---|---|-------------|---|-------|------------|--|--|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | ction Date 2A. Deemed Day/Year) Execution Date, if any (Month/Day/Year) | | 3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or Code V Amount (D) Price | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common Stock | 09/30/2014 | | F | 668 | D | \$ 11.3 | 68,935 (2) (3) | D | |
| Common Stock | | | | | | | 9,775 (1) | I | By 401(k) |
| Common Stock | | | | | | | 8,366 | I | By ESOP |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

8. P Der Sec (Ins

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | | 4. | 5. | 6. Date Exercis | | 7. Title and A | |
|-------------|-------------|---------------------|--------------------|------------|------------|-----------------|------------|----------------|------------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transactio | orNumber | Expiration Dat | | Underlying S | Securities |
| Security | or Exercise | | any | Code | of | (Month/Day/Y | ear) | (Instr. 3 and | 4) |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Derivative | e | | | |
| | Derivative | | | | Securities | | | | |
| | Security | | | | Acquired | | | | |
| | | | | | (A) or | | | | |
| | | | | | Disposed | | | | |
| | | | | | of (D) | | | | |
| | | | | | (Instr. 3, | | | | |
| | | | | | 4, and 5) | | | | |
| | | | | | ., , | | | | |
| | | | | | | Date | Expiration | | Amount or |
| | | | | | | Exercisable | Date | Title | Number of |
| | | | | Code V | (A) (D) | Lacicisable | Date | | Shares |
| 041- | | | | | | | | C | |
| Stock | \$ 12.35 | | | | | 05/23/2009 | 05/23/2018 | Common | 153,275 |
| Options | Ψ 12.55 | | | | | 02,23,2007 | 02,23,2010 | Stock | 100,270 |

Reporting Owners

| Reporting Owner Name / Address | Relationships |
|--------------------------------|---------------|
| | |

Director 10% Owner Officer Other

Muto Allan A

200 PALMER STREET EVP and CFO

STROUDSBURG, PA 18360

Signatures

/s/ Marc P. Levy, pursuant to power of

attorney 10/02/2014

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects transactions not required to be reported pursuant to Section 16 of the Securities Exchange Act of 1934, as amended.
- (2) Includes shares of restricted stock which vest at a rate of 50% per year commencing on September 30, 2013.
- (3) Includes shares of restricted stock which vest at a rate of 25% per year commencing on September 30, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2