

SIGA TECHNOLOGIES INC
Form 8-K
May 22, 2015

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, DC 20549

FORM 8-K

CURRENT REPORT
PURSUANT TO SECTION 13 OR 15 (d) OF
THE SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported): May 20, 2015

SIGA TECHNOLOGIES, INC.
(Exact name of registrant as specified in its charter)

| | | |
|---|--------------------------|---|
| Delaware | 0-23047 | 13-3864870 |
| (State or other jurisdiction of incorporation or organization) | (Commission file number) | (I.R.S. employer identification no.) |

| | |
|--|------------|
| 660 Madison Avenue, Suite 1700 | |
| New York, New York | 10065 |
| (Address of principal executive offices) | (Zip code) |

Registrant's telephone number, including area code: (212) 672-9100

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 3.01 Notice of Delisting or Failure to Satisfy a Continued Listing Rule or Standard; Transfer of Listing.

On May 20, 2015, SIGA Technologies, Inc. (the “Company”) received notice from the Hearings Advisor for The Nasdaq Stock Market LLC (“Nasdaq”) stating that Nasdaq has determined that the Company’s securities will be delisted from Nasdaq. On May 21, 2015, Nasdaq filed a Form 25-NSE with the Securities and Exchange Commission to remove the Company’s securities from listing and registration on Nasdaq. The Form 25-NSE stated that Nasdaq had determined that the Company no longer qualified for listing on Nasdaq pursuant to Nasdaq Listing Rule 5110(b).

As previously disclosed, the Company’s common stock was suspended from trading on the Nasdaq Global Market on March 20, 2015 and has traded on the OTC Markets under the “SIGAQ” symbol since that time.

The delisting is a consequence of the Company’s September 16, 2014 filing for relief under Chapter 11 of the United States Bankruptcy Code. The Company’s Chapter 11 filing was precipitated by its ongoing litigation with PharmAthene, Inc.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

SIGA TECHNOLOGIES, INC.

By: /s/ Daniel J. Luckshire

Name: Daniel J. Luckshire

Title: Chief Financial Officer

Date: May 22, 2015
