#### Edgar Filing: STERLING CONSTRUCTION CO INC - Form 3

#### STERLING CONSTRUCTION CO INC

Form 3

November 12, 2015

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

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January 31, 2005

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Statement

(Month/Day/Year) 11/09/2015

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

À BALLSCHMIEDE RONALD

STERLING CONSTRUCTION CO INC [STRL]

Α

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

(Last) (First) (Middle)

Filed(Month/Day/Year)

1800 HUGHES LANDING BLVD.

(Street)

Director

6. Individual or Joint/Group

10% Owner \_X\_\_ Officer \_Other (give title below) (specify below) **EVP & CFO** 

(Check all applicable)

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

THE WOODLANDS, TXÂ 77380

(State)

(City)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership 4. Nature of Indirect Beneficial

Form: Direct (D) Ownership (Instr. 5)

or Indirect (I)

Common Stock 0 (Instr. 5) D

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Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(Instr. 4)

1. Title of Derivative Security (Instr. 4)

**Expiration Date** (Month/Day/Year)

2. Date Exercisable and 3. Title and Amount of Securities Underlying **Derivative Security** 

4. 5. Ownership Conversion or Exercise Form of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Price of Derivative Derivative Security:

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Date Expiration Title Amount or Security Direct (D)

Exercisable Date Number of Shares (I)

(Instr. 5)

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

BALLSCHMIEDE RONALD A

1800 HUGHES LANDING BLVD. THE WOODLANDS, TXÂ 77380

 $\hat{A}$   $\hat{A}$   $\hat{A}$  EVP & CFO  $\hat{A}$ 

# **Signatures**

Roger M. Barzun under a Power of Attorney

11/12/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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