Murray James Michael Form 4 April 24, 2018

Check this box

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

if no longer subject to Section 16. Form 4 or Form 5 obligations

Form 5
obligations
may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * 2. Issuer N
Murray James Michael Symbol
Turning P

(Last) (First) (Middle) 3. Date of E

TURNING POINT BRANDS, INC., 5201 INTERCHANGE WAY

(Street) 4. If Amendment, Date

2. Issuer Name **and** Ticker or Trading Symbol Turning Point Brands, Inc. [TPB]

3. Date of Earliest Transaction (Month/Day/Year) 04/20/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

OMB APPROVAL

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

(Check all applicable)

____ Director ____ 10% Owner
___ Officer (give title ____ Other (specify below)
Sr. VP, Business Planning

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person ____ Form filed by More than One Reporting Person

LOUISVILLE, KY 40229

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Common Stock	04/20/2018		M	400	A	\$ 1.06	23,829	D		
Common Stock	04/20/2018		S	150	D	\$ 21.06	23,679	D		
Common Stock	04/23/2018		M	400	A	\$ 1.06	24,079	D		
Common Stock	04/23/2018		S	150	D	\$ 20.69 (8)	23,929	D		
Common Stock	04/24/2018		M	400	A	\$ 1.06	24,329	D		

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Common Stock 04/24/2018 S 150 D \$ 24,179 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	orof Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pri Deriv Secur (Instr
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Options (2008)	\$ 1.06	04/20/2018		M		400	<u>(5)</u>	11/04/2018	Common Stock	400	\$
Options (2008) (7)	\$ 1.06	04/23/2018		M		400	<u>(5)</u>	11/04/2018	Common Stock	400	\$
Options (2008) (7)	\$ 1.06	04/24/2018		M		400	<u>(5)</u>	11/04/2018	Common Stock	400	\$
Options (2018) (6)	\$ 21.21						<u>(1)</u>	03/07/2028	Common Stock	7,500	
Options (2017) (6)	\$ 15.41						(2)	05/17/2027	Common Stock	6,000	
Options (2014)	\$ 3.83						(3)	08/08/2024	Common Stock	29,731	
Options (2011) (7)	\$ 3.83						<u>(4)</u>	08/25/2021	Common Stock	62,591	

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Murray James Michael TURNING POINT BRANDS, INC. 5201 INTERCHANGE WAY LOUISVILLE, KY 40229

Sr. VP, Business Planning

Signatures

/s/ James M. Murray

04/24/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The options vest and become exercisable as to 34% of the underlying shares on January 1, 2019, 33% of the underlying shares on January 1, 2020 and 33% of the underlying shares on January 1, 2021.
- (2) The options vest and become exercisable as to 34% of the underlying shares on January 1, 2018, 33% of the underlying shares on January 1, 2019 and 33% of the underlying shares on January 1, 2020.
- The options vested and became exercisable as to 50% of the underlying shares on August 8, 2014, 25% of the underlying shares on August 8, 2015 and 25% of the underlying shares on August 8, 2016.
- The options vested and became exercisable as to 50% of the underlying shares on August 25, 2011, 16.66% of the underlying shares on August 25, 2012, 16.66% of the underlying shares on August 25, 2013 and the remaining 16.66% of the underlying shares on August 25, 2014, 16.66% of the underlying shares on August 25, 2015, 16.66% of the underlying shares on August 25, 2016, 16.66% of the underlying shares on August 25, 2017, 16.66% of the underlying shares on August 25, 2017, 16.66% of the underlying shares on August 25, 2018, 16.66% of the underlying shares on August 26, 2018,
- The options vested and became exercisable as to 50% of the underlying shares on November 4, 2008, 16.66% of the underlying shares on (5) January 1, 2009, 16.66% of the underlying shares on January 1, 2010 and the remaining 16.66% of the underlying shares on January 1, 2011
- (6) Granted pursuant to the issuer's 2015 Equity Incentive Plan.
- (7) Granted pursuant to the issuer's 2006 Equity Incentive Plan.
- Weighted average sale price. On 4/23/2018, the reporting person sold the shares within a range of \$20.55 to \$20.76. The reporting person (8) will provide upon request by the Commission Staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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