

LANDMARK BANCORP INC

Form 4

March 30, 2017

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**BALL RICHARD**

2. Issuer Name and Ticker or Trading Symbol  
**LANDMARK BANCORP INC [LARK]**

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

2006 BROADWAY, SUITE D

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(Street)

GREAT BEND, KS 67530

(City) (State) (Zip)

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock					85,122 <sup>(1)</sup>	D	
Common Stock	08/31/2016		P	164 A	\$ 26.247 23,088 <sup>(1)</sup>	I	IRA / Pension
Common Stock	11/23/2016		P	158 A	\$ 27.5047 23,088 <sup>(1)</sup>	I	IRA / Pension
Common Stock	03/01/2017		P	152 A	\$ 30.2833 23,088 <sup>(1)</sup>	I	IRA / Pension
Common Stock	08/31/2016		P	7 A	\$ 26.247 970 <sup>(1)</sup>	I	Spouse IRA

Edgar Filing: LANDMARK BANCORP INC - Form 4

Common Stock	11/23/2016	P	7	A	\$ 27.5047	970 <sup>(1)</sup>	I	Spouse IRA
Common Stock	03/01/2017	P	6	A	\$ 30.2833	970 <sup>(1)</sup>	I	Spouse IRA
Common Stock	08/31/2016	P	4	A	\$ 26.247	575 <sup>(1)</sup>	I	As Trustee
Common Stock	11/23/2016	P	4	A	\$ 27.5047	575 <sup>(1)</sup>	I	As Trustee
Common Stock	03/01/2017	P	4	A	\$ 30.2833	575 <sup>(1)</sup>	I	As Trustee
Common Stock						9,499 <sup>(1)</sup>	I	See Footnote <sup>(2)</sup>
Common Stock						277 <sup>(1)</sup>	I	Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6)
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
						Code	V (A) (D)		

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BALL RICHARD 2006 BROADWAY, SUITE D	X			

GREAT BEND, KS 67530

## Signatures

Mark A. Herpich as Attorney-in-Fact for  
Richard Ball

03/30/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) As adjusted for the Company's 5% stock dividend in December 2016.
  - (2) Represents shares held by a company in which Mr. Ball has a controlling interest.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.