

UMB FINANCIAL CORP
Form 8-K
November 03, 2015

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Form 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d)
of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): 11/03/2015

UMB FINANCIAL CORPORATION
(Exact name of registrant as specified in its charter)

Commission File Number : 0-4887

MO
(State or other jurisdiction
of incorporation)

1010 Grand Blvd., Kansas City, MO 64106

43-0903811
(IRS Employer
Identification No.)

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(Address of principal executive offices, including zip code)

(816) 860-7000

(Registrant's telephone number, including area code)

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- .. Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- .. Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- .. Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- .. Pre-commencement communications pursuant to Rule 13c-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 7.01 Regulation FD Disclosure

The information provided under Item 7.01 of this Current Report on Form 8-K is being furnished and is not deemed to be filed with the SEC for purposes of Section 18 of the Securities Exchange Act of 1934, as amended, or otherwise subject to the liabilities of that section.

Brian J. Walker will be serving in the position of Chief Accounting Officer of the UMB Financial Corporation with a title of Executive Vice President rather than Senior Vice President as previously disclosed.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

UMB FINANCIAL CORPORATION

By: /s/ Michael D. Hagedorn
Michael D. Hagedorn
Vice Chairman and

Chief Financial Officer

Date: November 3, 2015

>

(1) Names of reporting persons

JEC II Associates, LLC

(2) Check the appropriate box if a member of a group (see instructions)

(a)

(b)

(3) SEC use only

(4) Source of funds (see instructions) WC

(5) Check if disclosure of legal proceedings is required pursuant to Items 2(d) or 2(e)

(6) Citizenship or place of organization

Delaware

(7) Sole voting power:

0

Number of shares beneficially owned by (8) Shared voting power:

each reporting person with:

4,050,734

(9) Sole dispositive power:

0

(10) Shared dispositive power:

4,050,734

(11) Aggregate amount beneficially owned by each reporting person:

4,050,734

(12) Check if the aggregate amount in Row (9) excludes certain shares (see instructions)

(13) Percent of class represented by amount in Row 9:

8.51%

(14) Type of reporting person (see instructions):

CO

(1) Names of reporting persons

K. Peter Heiland

(2) Check the appropriate box if a member of a group (see instructions)

(a)

(b)

(3) SEC use only

(4) Source of funds (see instructions) WC

(5) Check if disclosure of legal proceedings is required pursuant to Items 2(d) or 2(e)

(6) Citizenship or place of organization

Germany

(7) Sole voting power:

0

Number of shares beneficially owned by (8) Shared voting power:

each reporting person with:

4,050,734

(9) Sole dispositive power:

0

(10) Shared dispositive power:

4,050,734

(11) Aggregate amount beneficially owned by each reporting person:

4,050,734

(12) Check if the aggregate amount in Row (9) excludes certain shares (see instructions)

(13) Percent of class represented by amount in Row 9:

8.51%

(14) Type of reporting person (see instructions):

IN

(1) Names of reporting persons

Heidi S. Shippell-Heiland

(2) Check the appropriate box if a member of a group (see instructions)

(a)

(b)

(3) SEC use only

(4) Source of funds (see instructions) WC

(5) Check if disclosure of legal proceedings is required pursuant to Items 2(d) or 2(e)

(6) Citizenship or place of organization

United States

(7) Sole voting power:

0

Number of shares beneficially owned by (8) Shared voting power:

each reporting person with:

4,050,734

(9) Sole dispositive power:

0

(10) Shared dispositive power:

4,050,734

(11) Aggregate amount beneficially owned by each reporting person:

4,050,734

(12) Check if the aggregate amount in Row (9) excludes certain shares (see instructions)

(13) Percent of class represented by amount in Row 9:

8.51%

(14) Type of reporting person (see instructions):

IN

Item 1. Security and Issuer.

This Amendment No. 1 relates to the common stock, no par value (the “Common Stock”), of GSI Group, Inc. (the “Company”), which has its principal office at 125 Middlesex Turnpike, Bedford Massachusetts, 01730. This Amendment No. 1 amends and supplements, as set forth below, the information contained in Items 4 and 5 of the Schedule 13D that was originally filed by the Reporting Persons on November 25, 2009 (the “Schedule 13D”). Except as amended by this Amendment No. 1, all information contained in the Schedule 13D is, after reasonable inquiry and to the best of the Reporting Persons’ knowledge and belief, true, complete and correct as of the date of this Amendment No. 1.

Item 4. Purpose of Transaction.

Item 4 of the Schedule 13D is hereby amended by adding the following:

JEC is seeking to participate, and if selected intends to participate, as a member of the official committee of equity security holders for the Company in connection with the Company’s bankruptcy proceedings.

Item 5. Interest in Securities of the Issuer.

Items 5(a), (b), and (c) of the Schedule 13D are hereby updated and supplemented as follows:

(a)-(b) As of the date hereof, the Reporting Persons may be deemed to beneficially own 4,050,734 shares of Common Stock, which represents approximately 8.51% of the issued and outstanding shares of Common Stock. Each of the Reporting Persons will have the shared power to vote or direct the vote, and shared power to dispose of or direct the disposition of these shares of Common Stock.

(c) JEC made the following purchases of Common Stock since the most recent filing on Schedule 13D:

Date	Number of Shares	Price Per Share	Manner of Purchase
12/18/09	1,466,400.00	\$ 0.85	Open Market
12/17/09	2,500.00	\$ 0.80	Open Market
12/17/09	10,500.00	\$ 0.83	Open Market
12/17/09	27,000.00	\$ 0.85	Open Market
12/17/09	25,000.00	\$ 0.86	Open Market
12/03/09	5,250.00	\$ 0.68	Open Market
12/02/09	14,900.00	\$ 0.65	Open Market

SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete, and correct.

Dated: December 21, 2009

JEC II Associates, LLC

By: /s/ K. Peter Heiland*

Name: K. Peter Heiland

Title: Manager

/s/ K. Peter Heiland*

K. Peter Heiland

/s/ Heidi S. Shippell-Heiland*

Heidi S. Shippell-Heiland

*By: /s/ James E. Dawson

James E. Dawson, as attorney-in-fact
