Edgar Filing: America's Suppliers, Inc. - Form SC 13D

Form SC 13D December 03, 2013
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549
SCHEDULE 13D
Under the Securities Exchange Act of 1934 (Amendment No)*
America's Suppliers, Inc.
(Name of Issuer)
(Name of Issuer) Common Stock, \$0.001 Par Value
Common Stock, \$0.001 Par Value
Common Stock, \$0.001 Par Value (Title of Class of Securities)
Common Stock, \$0.001 Par Value (Title of Class of Securities) 03063J106
Common Stock, \$0.001 Par Value (Title of Class of Securities) 03063J106 (CUSIP Number)
Common Stock, \$0.001 Par Value (Title of Class of Securities) 03063J106 (CUSIP Number) Christopher P. Baker
Common Stock, \$0.001 Par Value (Title of Class of Securities) 03063J106 (CUSIP Number) Christopher P. Baker c/o C.P. Baker & Company, Ltd.
Common Stock, \$0.001 Par Value (Title of Class of Securities) 03063J106 (CUSIP Number) Christopher P. Baker c/o C.P. Baker & Company, Ltd. 280 Summer Street, 9th Floor

America's Suppliers, Inc.

With a copy to:

Edgar Filing: America's Suppliers, Inc. - Form SC 13D

Michael F. Patterson, Esq.

Polsinelli PC

One East Washington, Suite 1200

Phoenix, Arizona 85004

(602) 650-2000

(Name, Address and Telephone Number of Person Authorized to Receive Notices and Communications)

June 17, 2008

(Date of Event Which Requires Filing of this Statement)

If the filing person has previously filed a statement on Schedule 13G to report the acquisition that is the subject of this Schedule 13D, and is filing this schedule because of §§ 240.13d-1(e), 240.13d-1(f) or 240.13d-1(g), check the following box.

Note: Schedules filed in paper format shall include a signed original and five copies of the schedule, including all exhibits. See § 240.13d-7 for other parties to whom copies are to be sent.

*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

```
CUSIP No. 03063J106
 Names of Reporting Persons.
1
 Christopher P. Baker
 Check the Appropriate Box if a Member of a Group (See Instructions).
2(a)"
 (b) x
 SEC Use Only.
3
 Source of funds
4
 PF
 Check if Disclosure of Legal Proceedings is Required Pursuant to Items 2(d) or 2(e) "
5
 Citizenship of Place of Organization.
6
 United States of America
                                 7 Sole Voting Power
                                   4,310,875
                                   Shared Voting Power
Number of Shares Beneficially
Owned by Each Reporting Person
                                   2,711,056
With:
                                 9 Sole Dispositive Power
```

4,310,875 Shared Dispositive Power 10 2,711,056 Aggregate Amount Beneficially Owned by Each Reporting Person. 11 7,021,931 Check if the Aggregate Amount in Row (11) Excludes Certain Shares (See Instructions) " 12 Percent of Class Represented by Amount in Row (11). 13 48.30% Type of Reporting Person (See Instructions).

IN

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```
CUSIP No. 03063J106
 Names of Reporting Persons.
1
 C.P. Baker & Company, Ltd. Profit Sharing Plan
 Check the Appropriate Box if a Member of a Group (See Instructions).
2(a)"
 (b) x
 SEC Use Only.
3
 Source of funds
4
 OO
 Check if Disclosure of Legal Proceedings is Required Pursuant to Items 2(d) or 2(e) "
5
 Citizenship of Place of Organization.
6
 Massachusetts
                                 7 Sole Voting Power
                                   Shared Voting Power
                                 8
Number of Shares Beneficially
                                    103,483
Owned by Each Reporting Person
                                   Sole Dispositive Power
With:
                                 9
```

10

103,483

Aggregate Amount Beneficially Owned by Each Reporting Person.

11

103,483

Check if the Aggregate Amount in Row (11) Excludes Certain Shares (See Instructions) "

12

Percent of Class Represented by Amount in Row (11).

13

0.74%

Type of Reporting Person (See Instructions).

14

EP

CUSIP No. 03063J106 Names of Reporting Persons. 1 Adrienne M. Baker Check the Appropriate Box if a Member of a Group (See Instructions). 2(a)" (b) x SEC Use Only. 3 Source of funds 4 PF Check if Disclosure of Legal Proceedings is Required Pursuant to Items 2(d) or 2(e) " 5 Citizenship of Place of Organization. 6 United States of America 7 Sole Voting Power Shared Voting Power 8 434,596 Number of Shares Beneficially Owned by Each Reporting Person With: Sole Dispositive Power 9 0

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Shared Dispositive Power

10

434,596

Aggregate Amount Beneficially Owned by Each Reporting Person.

11

434,596

Check if the Aggregate Amount in Row (11) Excludes Certain Shares (See Instructions) "

12

Percent of Class Represented by Amount in Row (11).

13

3.11%

Type of Reporting Person (See Instructions).

14

IN

```
CUSIP No. 03063J106
 Names of Reporting Persons.
1
 Catanese Family 2005 Minority Trust, FBO Allyson Catanese
 Check the Appropriate Box if a Member of a Group (See Instructions).
2(a)"
 (b) x
 SEC Use Only.
3
 Source of funds
4
 OO
 Check if Disclosure of Legal Proceedings is Required Pursuant to Items 2(d) or 2(e) "
5
 Citizenship of Place of Organization.
6
 Massachusetts
                                 7 Sole Voting Power
                                   Shared Voting Power
                                 8
Number of Shares Beneficially
                                   20,018
Owned by Each Reporting Person
                                   Sole Dispositive Power
With:
                                 9
```

10

20,018

Aggregate Amount Beneficially Owned by Each Reporting Person.

11

20,018

Check if the Aggregate Amount in Row (11) Excludes Certain Shares (See Instructions) "

12

Percent of Class Represented by Amount in Row (11).

13

0.14%

Type of Reporting Person (See Instructions).

14

```
CUSIP No. 03063J106
 Names of Reporting Persons.
1
 Catanese Family 2005 Minority Trust, FBO Michelle Catanese
 Check the Appropriate Box if a Member of a Group (See Instructions).
2(a)"
 (b) x
 SEC Use Only.
3
 Source of funds
4
 OO
 Check if Disclosure of Legal Proceedings is Required Pursuant to Items 2(d) or 2(e) "
5
 Citizenship of Place of Organization.
6
 United States of America
                                 7 Sole Voting Power
                                   Shared Voting Power
                                 8
Number of Shares Beneficially
                                   19,774
Owned by Each Reporting Person
                                   Sole Dispositive Power
With:
                                 9
```

10

19,774

Aggregate Amount Beneficially Owned by Each Reporting Person.

11

19,774

Check if the Aggregate Amount in Row (11) Excludes Certain Shares (See Instructions) "

12

Percent of Class Represented by Amount in Row (11).

13

0.14%

Type of Reporting Person (See Instructions).

14

```
CUSIP No. 03063J106
 Names of Reporting Persons.
1
 Catanese Family 2005 Minority Trust, FBO Anthony Catanese Jr.
 Check the Appropriate Box if a Member of a Group (See Instructions).
2(a)"
 (b) x
 SEC Use Only.
3
 Source of funds
4
 OO
 Check if Disclosure of Legal Proceedings is Required Pursuant to Items 2(d) or 2(e) "
5
 Citizenship of Place of Organization.
6
 United States of America
                                 7 Sole Voting Power
                                   Shared Voting Power
                                 8
Number of Shares Beneficially
                                   32,060
Owned by Each Reporting Person
                                   Sole Dispositive Power
With:
                                 9
```

10

32,060

Aggregate Amount Beneficially Owned by Each Reporting Person.

11

32,060

Check if the Aggregate Amount in Row (11) Excludes Certain Shares (See Instructions) "

12

Percent of Class Represented by Amount in Row (11).

13

0.23%

Type of Reporting Person (See Instructions).

14

```
CUSIP No. 03063J106
 Names of Reporting Persons.
1
 Anasazi Partners III, LLC
 Check the Appropriate Box if a Member of a Group (See Instructions).
2(a)"
 (b) x
 SEC Use Only.
3
 Source of funds
4
 WC
 Check if Disclosure of Legal Proceedings is Required Pursuant to Items 2(d) or 2(e) "
5
 Citizenship of Place of Organization.
6
 Delaware
                                 7 Sole Voting Power
                                   Shared Voting Power
                                 8
Number of Shares Beneficially
                                   927,003
Owned by Each Reporting Person
                                   Sole Dispositive Power
With:
                                 9
```

10

927,003

Aggregate Amount Beneficially Owned by Each Reporting Person.

11

927,003

Check if the Aggregate Amount in Row (11) Excludes Certain Shares (See Instructions) "

12

Percent of Class Represented by Amount in Row (11).

13

6.59%

Type of Reporting Person (See Instructions).

14

```
CUSIP No. 03063J106
 Names of Reporting Persons.
1
 Anasazi Partners III Offshore, Ltd.
 Check the Appropriate Box if a Member of a Group (See Instructions).
2(a)"
 (b) x
 SEC Use Only.
3
 Source of funds
4
 WC
 Check if Disclosure of Legal Proceedings is Required Pursuant to Items 2(d) or 2(e) "
5
 Citizenship of Place of Organization.
6
 British Virgin Islands
                                 7 Sole Voting Power
                                    Shared Voting Power
                                 8
Number of Shares Beneficially
                                    827,051
Owned by Each Reporting Person
                                    Sole Dispositive Power
With:
                                 9
```

10

827,051

Aggregate Amount Beneficially Owned by Each Reporting Person.

11

827,051

Check if the Aggregate Amount in Row (11) Excludes Certain Shares (See Instructions) "

12

Percent of Class Represented by Amount in Row (11).

13

5.88%

Type of Reporting Person (See Instructions).

14

CO

```
CUSIP No. 03063J106
 Names of Reporting Persons.
1
 Anasazi Partners II, LLC
 Check the Appropriate Box if a Member of a Group (See Instructions).
2(a)"
 (b) x
 SEC Use Only.
3
 Source of funds
4
 WC
 Check if Disclosure of Legal Proceedings is Required Pursuant to Items 2(d) or 2(e) "
5
 Citizenship of Place of Organization.
6
 Delaware
                                 7 Sole Voting Power
                                   Shared Voting Power
                                 8
Number of Shares Beneficially
                                   447,691
Owned by Each Reporting Person
                                   Sole Dispositive Power
With:
                                 9
```

10

447,691

Aggregate Amount Beneficially Owned by Each Reporting Person.

11

447,691

Check if the Aggregate Amount in Row (11) Excludes Certain Shares (See Instructions) "

12

Percent of Class Represented by Amount in Row (11).

13

3.19%

Type of Reporting Person (See Instructions).

14

```
CUSIP No. 03063J106
 Names of Reporting Persons.
1
 C.P. Baker & Company, Ltd.
 Check the Appropriate Box if a Member of a Group (See Instructions).
2(a)"
 (b) x
 SEC Use Only.
3
 Source of funds
4
 WC
 Check if Disclosure of Legal Proceedings is Required Pursuant to Items 2(d) or 2(e) "
5
 Citizenship of Place of Organization.
6
 Massachusetts
                                 7 Sole Voting Power
                                   Shared Voting Power
                                 8
Number of Shares Beneficially
                                   43,084
Owned by Each Reporting Person
                                   Sole Dispositive Power
With:
                                 9
```

10

43,084

Aggregate Amount Beneficially Owned by Each Reporting Person.

11

43,084

Check if the Aggregate Amount in Row (11) Excludes Certain Shares (See Instructions) "

12

Percent of Class Represented by Amount in Row (11).

13

0.31%

Type of Reporting Person (See Instructions).

14

CO

Item 1. Security and Issuer

This statement relates to the beneficial ownership of the Common Stock, \$0.001 Par Value (the "Shares"), of America's Suppliers, Inc., a Delaware corporation (the "Company"), whose principal executive offices are located at 7575 E. Redfield Road, Suite 201, Scottsdale, Arizona 85260.

Item 2. Identity and Background

(a) – (c), (f) This statement is filed jointly by the following reporting persons (together, the "Reporting Persons") as permitted by Rule 13d-1(k) promulgated by the Commission under Section 13 of the Securities Exchange Act of 1934. The Reporting Persons are:

Christopher P. Baker ("Chris")

C.P. Baker & Company, Ltd. Profit Sharing Plan (the "Plan")

Adrienne M. Baker ("Adrienne")

Catanese Family 2005 Minority Trust, FBO Allyson Catanese ("Allyson Trust")

Catanese Family 2005 Minority Trust, FBO Michelle Catanese ("Michelle Trust")

Catanese Family 2005 Minority Trust, FBO Anthony Catanese Jr. ("Anthony Trust")

Anasazi Partners III, LLC, a Delaware limited liability company ("Anasazi III")

Anasazi Partners III Offshore, Ltd., a British Virgin Islands corporation ("Anasazi Offshore")

Anasazi Partners II, LLC, a Delaware limited liability company ("Anasazi II")

C.P. Baker & Company, Ltd., a Massachusetts corporation (<u>"CPB"</u>)

Information with respect to each of the Reporting Persons is given solely by such Reporting Person and no Reporting Person has responsibility for the accuracy or completeness of information supplied by another Reporting Person. By their signature on this statement, each of the Reporting Persons agrees that this statement is filed on behalf of such Reporting Person.

The business address of each of the Reporting Persons is c/o C.P. Baker & Company, Ltd., 280 Summer Street, 9th Floor, Boston, Massachusetts 02210. A list of the directors, executive officers, controlling persons and/or managers, as appropriate, of Anasazi Offshore, Anasazi III, Anasazi II and CPB is attached hereto as Exhibit 99.1.

Mr. Baker's principal occupation is President and Chief Executive Officer of CPB, which is a family office and holding company. Mr. Baker is also the sole manager of C.P. Baker, LLC, a wholly-owned subsidiary of CPB, which serves as the manager of Anasazi Offshore, Anasazi III and Anasazi II, each of which is an investment fund. Mr. Baker also serves as Chairman of the Board of the Company. Mr. Baker is a resident of Massachusetts and a citizen of the United States. The address of CPB and C.P. Baker, LLC is listed above. Adrienne Baker is the trustee of each of Allyson Trust, Michelle Trust and Anthony Trust (collectively, "Catanese Trusts"). Mrs. Baker's principal occupation is as an attorney with Dechert, LLP and she is a resident of Massachusetts and a citizen of the United States. The office of Dechert, LLP is One International Place, 40th Floor, 100 Oliver Street, Boston, Massachusetts 02110-2605. The Plan is an employee benefit plan for the benefit of the employees of CPB for which Mr. Baker serves as trustee.

(d) – (e) None of the Reporting Persons, nor any manager, director, executive officer or controlling person of the Reporting Persons, has, during the last five years, been (i) convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) or (ii) a party to a civil proceeding of a judicial or administrative body of competent jurisdiction involving a judgment, decree or final order enjo