

RICKS CABARET INTERNATIONAL INC

Form 4

June 02, 2014

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Anakar Nour-Dean

2. Issuer Name and Ticker or Trading Symbol  
RICKS CABARET INTERNATIONAL INC [RICK]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
05/28/2014

Director  10% Owner  
 Officer (give title below)  Other (specify below)

3978 SORRENTO VALLEY DRIVE, SUITE 100

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

SAN DIEGO, CA US 92121

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |   |        | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--------|---|--|-----------------------------------|
|                                 |                                      |  |                                | Code  | V | Amount |   |  |                                   |
| Common Stock                    | 05/28/2014                           |  | M                              |   |   | 10,000 |   | D  |                                   |
| Common Stock                    | 05/28/2014                           |  | M                              |   |   | 21,300 |   | D  |                                   |
| Common Stock                    | 05/28/2014                           |  | S                              |   |   | 20,500 |   | D  |                                   |
| Common Stock                    | 05/28/2014                           |  | S                              |   |   | 10,500 |   | D  |                                   |
| Common Stock                    | 05/29/2014                           |  | S                              |   |   | 7,106  |   | D  |                                   |

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|              |            |   |       |   |           |       |   |
|--------------|------------|---|-------|---|-----------|-------|---|
| Common Stock | 05/29/2014 | S | 100   | D | \$ 10.525 | 7,006 | D |
| Common Stock | 05/29/2014 | S | 100   | D | \$ 10.58  | 6,906 | D |
| Common Stock | 05/29/2014 | S | 200   | D | \$ 10.53  | 6,706 | D |
| Common Stock | 05/29/2014 | S | 900   | D | \$ 10.51  | 5,806 | D |
| Common Stock | 05/29/2014 | S | 300   | D | \$ 10.5   | 5,506 | D |
| Common Stock | 05/30/2014 | S | 2,331 | D | \$ 10.5   | 3,175 | D |
| Common Stock | 06/02/2014 | S | 3,175 | D | \$ 10.5   | 0     | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D)<br>(Instr. 3, 4, and 5) |         | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                 | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|--|---------|--|-----------------|---|
|  |  |                                      |  |                                | V  | (A) (D) | Date Exercisable   | Expiration Date |   |
| Stock Options                              | \$ 8.35  | 05/28/2014                           |  | M                              |  | 10,000  | 07/02/2013   | 07/02/2014      | Common Stock 10,000   |
| Stock Options                              | \$ 8.78  | 05/28/2014                           |  | M                              |  | 11,300  | 06/27/2013   | 06/27/2014      | Common Stock 11,300   |

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

X

Anakar Nour-Dean  
3978 SORRENTO VALLEY DRIVE  
SUITE 100  
SAN DIEGO, CA US 92121

## Signatures

/s/ Nourdean  
Anakar

06/02/2014

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercise of stock options.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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