

PureSafe Water Systems, Inc.
Form 3
June 30, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â HICKS STEPHEN M

(Last) (First) (Middle)

90 GROVE STREET

(Street)

RIDGEFIELD,Â CTÂ 06877

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

04/02/2014

3. Issuer Name and Ticker or Trading Symbol
PureSafe Water Systems, Inc. [PSWS]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☒ Director ☐ 10% Owner

☒ Officer ☐ Other

(give title below) (specify below)

President

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person

☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

25,375,000

I

See Footnote ⁽¹⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

Date Exercisable

Expiration Date

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

Title

Amount or Number of

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)
or Indirect

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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				Shares		(I) (Instr. 5)	
\$75,000 Convertible Promissory Note	01/31/2014	Â (4)	Common Stock	26,785,714	\$ 0.0028	I	See Footnote (2)
Common Stock Purchase Warrant	06/08/2012	06/08/2019	Common Stock	500,000	\$ 0.05	I	See Footnote (3)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HICKS STEPHEN M 90 GROVE STREET RIDGEFIELD, CT 06877	Â X	Â	Â President	Â

Signatures

/s/ Stephen
Hicks

06/30/2014

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Owned directly by ASC Recap LLC. The Reporting Person is the manager of ASC and has voting and investment control over the securities held by ASC, but disclaims beneficial ownership of such shares.

The \$75,000 convertible note is convertible into 26,785,714 shares of common stock as at June 23, 2014, and is owned directly by Tarpon
(2) Bay Partners LLC. The Reporting Person is the manager of Tarpon and has voting and investment control over the securities held by Tarpon, but disclaims beneficial ownership of such shares.

The Common Stock Purchase Warrant to purchase \$500,000 shares of common stock of the Company is owned directly by Southridge
(3) Partners II, LP. The Reporting Person is the manager of the general partner of Southridge Partners II LP and has voting and investment control over the securities held by Southridge, but disclaims beneficial ownership of such shares.

(4) NA

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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