#### Edgar Filing: JAKKS PACIFIC INC - Form 4

LARKE DACIEIC INC

Form 4 January 06, 2015 FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION the longer section 16. Form 4 or Form 5 or Section 16. Form 4 or Form 4 or Form 4 or Form 4 or Form 4 or Form 5 or Section 16. Form 5 or Section 16. Form 6 or Form 6 or Form 7 or Form 6 or Form 7 or Form 6 or Form 7 or Form 6 or Form 7 or Section 17(a) of the Public Utility Holding Company Act of 1934. MCGRATH JOHN JOSEPH AKKS PACIFIC INC [JAKK] COBE APPROVAL ONB Section 17(a) of the Public Utility Holding Company Act of 1930. JAKKS PACIFIC INC [JAKK] Check all applicable CAD JAKKS PACIFIC, INC., 22619 PACIFIC COAST HIGHWAY (Issue) 4. If Amendment, Date Original Filed/Month/Day/Year) Colled Capped Action 12/31/2014 Securities Securities Securities Securities Securities Securities Disposed of Securities Managed Action 100 are Reporting Person (Store) 4. If Amendment, Date Original Filed/Month/Day/Year) Colled Capped Action Date 2. A. Desmed (Month/Day/Year) Colled Capped Action Date 2. A. Desmed Colled Capped Action Date 2. A. Desmed (Month/Day/Year) Colled Capped Action Date 2. A. D	JAKKS PAC	IFIC INC											
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549       OMB APPROVAL OMB Section 16.       OMB APPROVAL OMB STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16.       OMB APPROVAL OMB Section 16.         STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Form 4 or Form 4 or Section 17(a) of the Public Utility Holding Company Act of 1935, or Section 17(a) of the Public Utility Holding Company Act of 1935, or Section 17(a) of the Public Utility Holding Company Act of 1935, or Section 17(a) of the Public Utility Holding Company Act of 1935, or Section 17(a) of the Public Utility Holding Company Act of 1935, or Section 17(a) of the Public Utility Holding Company Act of 1940       S. Relationship of Reporting Person(s) to Issuer         (Print or Type Response)       S. Issuer Name and Ticker or Trading Symbol       S. Relationship of Reporting Person(s) to Issuer       S. Relationship of Reporting Person(s) to Issuer         (Cot JAKKS PACIFIC, INC, 22619       12/31/2014       Check all applicable)       Other operating Define (give all the Other operating Nonth/Day/Year)       Other operating Officer (give all the Other operating Nonth/Day/Year)       Other operating Officer Security       6. Individual or Joint/Group Filing(Check Applicable Line) (Nonth/Day/Year)       S. Anount of Security       6. Ownership 7. Nature of Security         (Transaction Date Security       2.3. Transaction Date (Month/Day/Year)       3. 4. Securities Acquired Security       S. Anount of Security       6. Ownership 7. Nature of Security         (Transaction Date Security       2.3. Transaction Date (Month/Day/Year)       2.4. Beerned Security       S	Form 4												
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Check this box if no longer subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. SECURITIES SECURITIES Section 16. Section 17(a) of the Public Utility Holding Company Act of 1934, obligations. Section 17(a) of the Public Utility Holding Company Act of 1940 itb). (Print or Type Responses) 1. Name and Address of Reporting Person 1 (Last) (First) (Middle) 3. Date of Earliest Transaction MCGRATH JOHN JOSEPH Symbol JAKKS PACIFIC INC [JAKK] (Check all applicable) (Company Act of 1940) itb) MCGRATH JOHN JOSEPH Symbol JAKKS PACIFIC INC [JAKK] (Check all applicable) (Company Act of 1940) itb) MCGRATH JOHN JOSEPH Symbol JAKKS PACIFIC INC [JAKK] (Check all applicable) COM MALIBU, CA 90265 (Street) MALIBU, CA 90265 Common Stock 12/31/2014 Common Stock 01/01/2015 A 11,028 Stock 01/01/2015 A 11,028 Stock 01/01/2015 COM MALIBU, CA 90265 Common Stock 01/01/2015 A 11,028 Stock 01/01/2015 Common Stock 01/01/2015 Common Stock 01/01/2015 Common Stock 01/01/2015 Common Stock 01/01/2015 Common Stock 01/01/2015 Common Stock 01/01/2015 Common Stock 01/01/2015 Common Stock 01/01/2015 Common Stock 01/01/2015 Common Stock 01/01/2015 Common Stock 01/01/2015 Common Stock 01/01/2015 Common Stock 01/01/2015 Common Stock 01/01/2015 Common Stock 01/01/2015 Common Stock 01/01/2015 Common Stock 01/01/2015 Common Stock 01/01/2015 Common Stock 01/01/2015 Common Stock 01/01/2015 Common Stock 01/01/2015 Common Stock 01/01/2015 Common Stock 01/01/2015 Common Stock 01/01/2015 Common Stock 01/01/2015 Common Stock 01/01/2015 Common Stock 01/01/2015 Common Stock 01	FORM	4									PPROVAL		
if no longer subject to Section 16.       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES       Expires: 2005 Estimated average burden hours per response         form 4 or Form 5       Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue.       Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b).       Section 17(a) of the Public Utility Holding Company Act of 1940 1(b).         (Print or Type Responses)       1. Name and Address of Reporting Person. <sup>+</sup> Symbol JAKKS PACIFIC INC [JAKK]       5. Relationship of Reporting Person(s) to Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/DayYear)       5. Relationship of Reporting Person(s) to Issuer         C/O JAKKS PACIFIC, INC., 22619 PACIFIC COAST HIGHWAY       2. Issuer Name and Ticker or Trading Symbol       5. Relationship of Reporting Person(s) to Issuer         (Street)       4. If Amendment, Date Original Filed(Month/DayYear)       Director Worth of the Operating Officer       10% Owner Worth of the Operating Officer         (City)       (State)       (Zip)       Table 1 - Non-Derivative Securities Acquired (Nonth/DayYear)       5. Acquired S. Acquired Securities Acquired S. Acquired		UNITED	UNITED STATES SECONTIES AND EXCHANCE COMMISSION								3235-0287		
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$   \text{Print or Type Responses}   \\   \text{Name and Address of Reporting Person } \\   \text{MCGRATH JOHN JOSEPH} \\   \text{Lass} & (First) & (Middle) \\   \text{Lass} & (First) & (Middle) \\   \text{AcKS PACIFIC INC } JAKKS PACIFIC INC [JAKK] \\   \text{Check all applicable} \\   \text{Operating Officer} \\   Operating Offic$	See Instru		30(h)	of the In	vestment	Company	/ Act	of 194	40				
1. Name and Address of Reporting Person $\frac{1}{MCGRATH JOHN JOSEPH}$ 2. Issuer Name and Ticker or Trading Symbol JAKKS PACIFIC INC [JAKK]5. Relationship of Reporting Person(s) to Issuer(Last)(First)(Middle)3. Date of Earliest Transaction (Month/Day/Year)(Check all applicable)C/O JAKKS PACIFIC, INC., 22619 PACIFIC COAST HIGHWAY3. Date of Earliest Transaction (Month/Day/Year)Director $_10\%$ Owner(Street)4. If Amendment, Date Original Filed(Month/Day/Year)6. Individual or Joint/Group Filing/Check Applicable Line) $_X$ . Form filed by One Reporting Person $_Z$ . Output: $_Z$ . Form filed by One Reporting Person $_Z$	1(b).												
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(Street)   4. If Amendment, Date OriginalFiled(Month/Day/Year)				12/31/20	014								
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$\begin{array}{c} \text{Common} \\ \text{Stock} \end{array} 12/31/2014 \qquad \begin{array}{c} \text{Code V} \\ \text{D} \end{array} \begin{array}{c} \text{Amount} \\ \begin{array}{c} (A) \\ \text{or} \\ (D) \end{array} \begin{array}{c} \text{Following} \\ \text{Reported} \\ \text{Transaction(s)} \\ (Instr. 3 and 4) \end{array} \begin{array}{c} (Instr. 4) \\ (Instr. 4) \end{array} \begin{array}{c} (Instr. 4) \\ (Instr. 4) \end{array} \end{array}$	(Instr. 3)		-	Code (D)					•				
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Common Stock $12/31/2014$ Code V       Amount (D)       Price (Instr. 3 and 4)         Common Stock $12/31/2014$ D $\frac{11,160}{(5)}$ D $\frac{\$}{6.8}$ $15,225$ (2)       D         Common Stock $01/01/2015$ A $\frac{11,028}{(3)(4)}$ A $\frac{\$}{6.8}$ $26,253$ (2)       D										(111501. 4)	(1130.4)		
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		01/01/2015			А		А		26.253 (2)	D			
	Stock					(3) (4)							

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

#### Edgar Filing: JAKKS PACIFIC INC - Form 4

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
MCGRATH JOHN JOSEPH C/O JAKKS PACIFIC, INC. 22619 PACIFIC COAST HIGHWAY MALIBU, CA 90265			Chief Operating Officer				
Signaturos							

# Signatures

/s/ John J. 01/05/2015 McGrath

<u>\*\*</u>Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the closing price of the Issuer's common stock on 12/31/14, as reported by Nasdaq.
- (2) Certain of these shares may be restricted from transfer pursuant to the minimum stock ownership provision in Holder's Employment Agreement with the Issuer.
- (3) Such 11,028 shares were issued pursuant to the terms of Holder's Employment Agreement with the Issuer and are further subject to the terms of that certain January 1, 2015 Restricted Stock Award Agreement by and between the Holder and the Issuer.

The 11,028 shares will vest in two tranches, with each tranche equal to 50% of the total grant. The initial tranche will vest on the date in(4) 2016 as of which the 3% Vesting Condition (as defined in the Holder's Employment Agreement) is determined to be satisfied with the succeeding tranche vesting on January 1, 2017.

(5) Reflects the forfeiture of 11,160 shares issued pursuant to the terms of the Holder's Employment Agreement that failed to vest pursuant to the terms of that certain January 1, 2014 Restricted Stock Award Agreement by and between the Holder and the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.