JAMBA, INC. Form 4 May 28, 2015

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

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response...

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obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* **ADKINS STEVE** 

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Middle)

JAMBA, INC. [JMBA]

3. Date of Earliest Transaction

(Month/Day/Year) 05/26/2015

Director 10% Owner

(Check all applicable)

SVP/GM, US Stores West

\_X\_\_ Officer (give title \_ below)

Other (specify

6475 CHRISTIE AVE, SUITE 150

(Street)

(First)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### EMERYVILLE, CA US 94608

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)				
Common Stock	05/26/2015		M	4,000	A	\$ 8.95	19,435 (1)	D			
Common Stock	05/26/2015		M	3,000	A	\$ 11.1	22,435 (1)	D			
Common Stock	05/26/2015		M	3,000	A	\$ 8.05	25,435 <u>(1)</u>	D			
Common Stock	05/26/2015		M	2,000	A	\$ 9.7	27,435 (1)	D			
Common Stock	05/26/2015		S	12,000	D	\$ 15	15,435 <u>(1)</u>	D			

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Common Stock 05/26/2015 S 1,031 D \$ 14,404 (1) D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 8.95	05/26/2015		M	4,000	(2)	11/16/2019	Common Stock	4,000
Stock Option (Right to Buy)	\$ 11.1	05/26/2015		M	3,000	(3)	11/12/2020	Common Stock	3,000
Stock Option (Right to Buy)	\$ 8.05	05/26/2015		M	3,000	<u>(4)</u>	11/14/2021	Common Stock	3,000
Stock Option (Right to Buy)	\$ 9.7	05/26/2015		M	2,000	<u>(5)</u>	11/06/2022	Common Stock	2,000

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

SVP/GM, US Stores West

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ADKINS STEVE 6475 CHRISTIE AVE SUITE 150 EMERYVILLE, CA US 94608

## **Signatures**

/s/ Karen Luey, as attorney-in-fact for Steve Adkins

05/28/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The securities reported in this column consist of 8,500 restricted stock units which give the Reporting Person a contingent right to receive at a future date one share of JMBA common stock for each restricted stock unit held. Of the 8,500 restricted stock units, 833 will vest on 8/6/2015, 1,833 will vest on 8/7/2016, 1,084 will vest on 8/8/2016, and 1,834 will vest on 8/7/2017.
- (2) Vesting occurred in four equal installments on the first, second, third and fourth anniversary of the vesting commencement date of 11/16/2009.
- (3) Vesting occurred in four equal installments on the first, second, third and fourth anniversary of the vesting commencement date of 11/12/2010.
- (4) Vesting occurs in four equal installments on the first, second, third and fourth anniversary of the vesting commencement date of 11/14/2011.
- (5) Vesting occurs in four equal installments on the first, second, third and fourth anniversary of the vesting commencement date of 11/06/2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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