Rice Energy Inc. Form 4 December 30, 2016

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

Issuer

January 31, 2005

0.5

Estimated average

burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

2. Issuer Name and Ticker or Trading

Rice Energy Inc. [RICE]

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

Symbol

1(b).

(Print or Type Responses)

Rosenbaum Jay D.

1. Name and Address of Reporting Person \*

			Rice Energy Inc. [RICE]				(Check all applicable)					
(Last) (First) (Middle)  C/O NIXON PEABODY LLP, 100  SUMMER STREET			3. Date of Earliest Transaction (Month/Day/Year) 12/28/2016					- - b	Director X 10% Owner Officer (give title Other (specify below)			
				Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								ally Owned				
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemee Execution I any (Month/Day	Date, if	3. Transact Code (Instr. 8)	tion (	4. Securities Actor Disposed of (Instr. 3, 4 and Amount	(D)	d (A)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	12/28/2016					10,800,000	D	\$0	19,000,000	I (2)	By self, as Trustee of the Rice Energy Irrevocable Trust (3)	
Common Stock	12/29/2016			G <u>(1)</u> V	<b>V</b> 1	19,000,000	D	\$ 0	0	I (2)	By self, as Trustee of the Rice Energy Irrevocable Trust (3)	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

<ol> <li>Title of</li> </ol>	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	e and	8. Price of	9
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration D	ate	Amou	nt of	Derivative	J
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	,
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	]
	Derivative				Securities	1		(Instr.	3 and 4)		(
	Security				Acquired						]
					(A) or						J
					Disposed						-
					of (D)						(
					(Instr. 3,						
					4, and 5)						
									Amount		
									or		
						Date Expirati	Expiration	Title	Number		
						Exercisable	isable Date		of		
				Code V	(A) (D)				Shares		
				Code v	(A) $(D)$				Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships
Reporting Owner Name / Address	

Director 10% Owner Officer Other

Rosenbaum Jay D. C/O NIXON PEABODY LLP 100 SUMMER STREET BOSTON, MA 02110

X

## **Signatures**

/s/ Jay D.

Rosenbaum 12/30/2016
\*\*Signature of Date

Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reported transactions were transfers, without consideration, from the Rice Energy Irrevocable Trust, a Massachusetts trust of which the Reporting Person is a trustee (the "Old Trust"), to Rice Energy 2016 Irrevocable Trust, a New Hampshire trust whose beneficiaries are the same as the beneficiaries of the Old Trust.
- The Reporting Person has no pecuniary interest in any securities of the Issuer and disclaims beneficial ownership of the securities held by the Old Trust.
- The Reporting Person, as Trustee of the Old Trust, has caused the Old Trust to report separately its beneficial ownership of the Issuer's securities.

Reporting Owners 2

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.