Edgar Filing: Resolute Energy Corp - Form 4

Resolute En Form 4 March 10, 2											
FORM	Λ4					~			OMB AF	PROVAL	
. •	••• UNITED) STATES					NGE C	OMMISSION	OMB	3235-0287	
Check the	nis box		vv as	shington,	, D.C. 20	549			Number:	January 31,	
if no lon	MENT O	F CHAN	IGES IN	BENEFI		LOW	NERSHIP OF	Expires:	2005		
subject t Section			SECUR					Estimated a burden hour	•		
Form 4	Form 4 or								response		
Form 5 obligation	-						-	e Act of 1934,			
may cor	tinue. Section 17			•	•	- ·		1935 or Section	1		
<i>See</i> Insta 1(b).	ruction	50(II)) of the In	ivestinent	Compan	y Ac	t OI 194	0			
1(0).											
(Print or Type	Responses)										
1 Nama and	Address of Deporting	Dorson *	0 T			 1.		5 Palationship of	Daparting Dars	on(a) to	
1. Name and Address of Reporting Person <u>*</u> PICCONE JAMES M			2. Issuer Name and Ticker or Trading Symbol					5. Relationship of Reporting Person(s) to Issuer			
			-	e Energy	Corp [RI	EN]					
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Check					k all applicable)			
				(Month/Day/Year)				_X_ Director 10% Owner			
1700 LINCOLN STREET, SUITE			03/08/2017					XOfficer (give titleOther (specify below) below)			
2800									President		
				4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
Filed			Filed(Mo	iled(Month/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person			
DENVER, CO 80203					Form filed by More than One Reporting						
(City)	(State)	(Zip)						Person			
	(State)	(Zip)	Tabl	le I - Non-I			-	uired, Disposed of		y Owned	
1.Title of Security	2. Transaction Dat (Month/Day/Year)		med on Date, if	3. Transactio	4. Securit			5. Amount of Securities	6. Ownership	7. Nature of Indirect	
(Instr. 3) any			n Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)					Beneficially	Beneficial		
	(Month/Day/			ay/Year) (Instr. 8)				Owned Following	Ownership (Instr. 4)		
						(1)		Reported	Indirect (I) (Instr. 4)	(111501. 4)	
						(A) or		Transaction(s) (Instr. 3 and 4)			
C				Code V		(D)	Price	(Insu: 5 and 4)			
Common Stock	03/08/2017			Μ	12,440	А	<u>(1)</u>	257,980 <u>(3)</u>	D (4)		
							¢				
Common Stock	03/08/2017			F	16,803	D	\$ 38.22	241,177 <u>(3)</u>	D (4)		
SIUCK					<u>(_)</u>		30.22				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	orDeriv Secur Acqu or Di (D)	rities hired (A) sposed of c. 3, 4,	6. Date Exer Expiration D (Month/Day/	ate	7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amoun or Numbe of Shar
Performance Share Rights	<u>(5)</u>	03/08/2017		М		12,440	(5)	03/08/2017	Common Stock	12,44

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
PICCONE JAMES M 1700 LINCOLN STREET, SUITE 2800 DENVER, CO 80203	Х		President				
Signatures							

/s/ James M. Piccone	03/10/2017			
<u>**</u> Signature of Reporting Person	Date			

Explanation of Responses:

*	If the form is filed by more than one reporting person, <i>see</i> Instruction 4(b)(v).
**	Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
(1)	Represents Outperformance shares received on satisfaction of criteria under Performance Share Rights granted in February 2014. The closing price of the Issuer's common stock on March 8, 2017, the date such shares were earned, was \$38.22.
(2)	Represents shares surrendered to Issuer to cover tax withholding obligations of the Reporting Person pursuant to Issuer's 2009 Performance Incentive Plan upon the earning of 12,440 Outperformance shares, the vesting of 6,419 shares that vested pursuant to Time-vesting criteria and 19,257 shares of restricted stock that vested pursuant to Performance-vesting criteria.
(3)	Includes 43,535 shares of restricted stock subject to Time- and Performance- vesting criteria, which vest in annual installments in accordance with such criteria through March 8, 2020.
(4)	Includes 46,655 shares held by the Reporting Person in an IRA account.
(5)	Each Performance Share Right represents a right to receive up to the target number of shares of the Issuer's common stock (Outperformance Shares). Outperformance Shares are issued only upon achievement of specified thresholds of cumulative TSR compared to the cumulative TSR of a certain peer group of companies. Potential earning dates for such shares occurred in three annual installments commencing March 8, 2015 through March 8, 2017.
(6)	Outperformance shares that were not earned as of the last vesting date are no longer eligible to be earned by the Reporting Person after such date.

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