

Edgar Filing: GRANT STEFAN C - Form 3

GRANT STEFAN C
Form 3
December 27, 2002

OMB APPROVAL

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FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person*

Grant Stefan C.

(Last) (First) (Middle)

C/o Genta Inc.
Two Connell Drive

(Street)

Berkeley Height NJ 07922

(City) (State) (Zip)

2. Date of Event Requiring Statement (Month/Day/Year)

Nov. 26, 2002

3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)

4. Issuer Name and Ticker or Trading Symbol

Genta Incorporated (Nasdaq: GNTA)

5. Relationship of Reporting Person(s) to Issuer (Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

Corporate Counsel

6. If Amendment, Date of Original (Month/Day/Year)

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Dec. 16, 2002

7. Individual or Joint/Group Filing (Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Beneficially Owned

Table with 4 columns: 1. Title of Security (Instr. 4), 2. Amount of Securities Beneficially Owned (Instr. 4), 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5), 4. Nature of Ownership (Instr. 5). Row 1: Common Stock, par value \$.001, 100, D.

(Over)

FORM 3

Table II -- Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Table with 4 columns: 2. Date Exercisable and Expiration Date (Month/Day/Year), 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) - Amount, 4. Conversion or Exercise Price of Derivative Security.

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| 1. Title of Derivative Security (Instr. 4) | Date Exercisable | Expiration Date | Title | or Number of Shares | Exercise Price Derivative Security |
|--|------------------|-----------------|--------------------------------|---------------------|------------------------------------|
| Options to acquire Common Stock (1) | 4/26/03 | 4/26/12 | Common Stock, par value \$.001 | 60,000 | \$11.72 |
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Explanation of Responses:

- (1) These options were granted upon employment with Genta Incorporated. These options vest equally over the next four (4) years.
- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/s/ Stefan C. Grant December 27, 2002

**Signature of Reporting Person Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.