Edgar Filing: New Mountain Finance Corp - Form 8-K

New Mountain Finance Corp Form 8-K November 08, 2016 UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

#### FORM 8-K

#### **CURRENT REPORT**

Pursuant to section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of Earliest Event Reported): November 8, 2016 (November 8, 2016)

**New Mountain Finance Corporation** 

(Exact name of registrant as specified in its charter)

Delaware 814-00832 27-2978010 (State or other jurisdiction of (Commission (IRS Employer

incorporation or organization) File Number) Identification Number)

787 7th Avenue, 48th Floor, New York, NY 10019

(Address of principal executive offices)

Registrant's telephone number, including area code (212) 720-0300

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

# Edgar Filing: New Mountain Finance Corp - Form 8-K

### Item 2.02. Results of Operations and Financial Condition

On November 8, 2016, New Mountain Finance Corporation (the "Company") issued a press release announcing financial results for its quarter ended September 30, 2016. The press release is included as Exhibit 99.1 to this Form 8-K. Additionally, on November 8, 2016, the Company made available on its website, www.newmountainfinance.com, supplemental investor information with respect to the earnings release.

The information disclosed under this Item 2.02, including Exhibit 99.1 hereto, is being furnished and shall not be deemed "filed" for purposes of Section 18 of the Securities Exchange Act of 1934 and shall not be deemed incorporated by reference into any filing made under the Securities Act of 1933, except as expressly set forth by specific reference in such filing.

Item 9.01. Financial Statements and Exhibits.

d) Exhibits.

Exhibit

Number Description

99.1 Press Release, dated November 8, 2016

# Edgar Filing: New Mountain Finance Corp - Form 8-K

## **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the Registrant has duly caused this Current Report on Form 8-K to be signed on its behalf by the undersigned hereunto duly authorized.

NEW MOUNTAIN FINANCE CORPORATION

Date: November 8, 2016

By: /s/ Karrie J. Jerry

Name: Karrie J. Jerry

Title: Corporate Secretary