

Edgar Filing: MOSLE JON L JR - Form 4

MOSLE JON L JR
Form 4
October 29, 2002

U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

☐ Check box if no longer subject to Section 16. Form 4 or Form 5 obligations
may continue. See Instruction 1(b).

1. Name and Address of Reporting Person*

Mosle, Jon L., Jr.

(Last)

(First)

(Middle)

300 Crescent Court, Suite 1300

(Street)

Dallas, Texas 75201

(City)

(State)

(Zip)

2. Issuer Name and Ticker or Trading Symbol

Westwood Holdings Group, Inc. ("WHG")

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

4. Statement for Month/Year

10/28/02

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person to Issuer
(Check all applicable)

☒ Director

☐ 10% Owner

☐ Officer (give title below)

☐ Other (specify below)

7. Individual or Joint/Group Filing (Check applicable line)

☒ Form filed by one Reporting Person

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[_] Form filed by more than one Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,
or Beneficially Owned

6.	5.	Owner-	4.
			Securities Acquired (A)
or	Amount of	ship	
Securities	Form:	7.	3. Disposed of (D)
Beneficially	Direct	Nature of	Transaction (Instr. 3, 4 and 5)
-----	Owned at End	(D) or Indirect	Code -----
1.	of Month	Indirect Beneficial	2. Transaction (Instr. 8) (A)
Title of Security	Price (Instr. 3)	(I) Ownership	Date (mm/dd/yy)
(Instr. 3)	(Instr. 3)	(Instr. 4)	Code V (D)
and 4)	(Instr. 4)	(Instr. 4)	

Common Stock		10/28/02	P	10,000	A
\$13.55	35,000	D			

* If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Response)

(Over)

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Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

=====										
10.										
9.	Owner-									
Number	ship									
of	Form									
Deriv-	of	2.								
ative	Deriv-	11.	Conver-		5.				7.	
Amount		tion			Number of				Title and	
Underlying	8.	Secur-	ative	Nature	Derivative	6.			of	
Price	Bene-	or		4.	Securities	Date			Securities	
and 4)	of	Exer-	In-	Trans-	Acquired (A)	Exercisable and			(Instr. 3	
-----	Deriv-	Price	Direct	action	or Disposed	Expiration Date			-----	
1.		Owned	(D) or	Bene-	of(D)	(Month/Day/Year)				
Amount	ative	at End	In-	fi	(Instr. 3,	-----				
Title of	Secur-	Deriv-	direct	Owner-	4 and 5)	Date	Expira-			
Derivative		ative	(Month/	8)						
Number	ity	Month	(I)	ship	-----	Exer-	tion			
of	(Instr.	Secur-	Day/	-----						
(Instr. 3)	ity	(Instr.	(Instr.	(Instr.	(A) (D)	cisable	Date	Title		
Shares 5)	4)	4)	4)	4)						

=====										
=====										

Explanation of Responses:

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/s/ William R. Hardcastle, Jr.

10/29/02

**Signature of Reporting Person
as Attorney-in-fact

Date

** Intentional misstatements or omissions of facts constitute Federal
Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.
If space provided is insufficient, see Instruction 6 for procedure.

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