

Edgar Filing: FRAZE PATRICIA - Form 4

FRAZE PATRICIA
Form 4
November 01, 2002

U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

☐ Check box if no longer subject to Section 16. Form 4 or Form 5 obligations
may continue. See Instruction 1(b).

1. Name and Address of Reporting Person*

Fraze, Patricia R.

(Last)

(First)

(Middle)

300 Crescent Court, Suite 1300

(Street)

Dallas, Texas 75201

(City)

(State)

(Zip)

2. Issuer Name and Ticker or Trading Symbol

Westwood Holdings Group, Inc. ("WHG")

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

4. Statement for Month/Year

10/31/02

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person to Issuer
(Check all applicable)

☐ Director

☐ 10% Owner

☒ Officer (give title below)

☐ Other (specify below)

Executive Vice President of Westwood Management Corp.

7. Individual or Joint/Group Filing (Check applicable line)

☒ Form filed by one Reporting Person

[_] Form filed by more than one Reporting Person

6.		4.	
Owner-		Securities Acquired (A) or	
ship		3. Disposed of (D)	
Form: 7.		Transaction (Instr. 3, 4 and 5)	
Beneficially	Direct	Nature of	2. Code -----
End (D) or	Indirect		Transaction (Instr. 8) (A)
1. Indirect	Beneficial		
Title of Security		Date	----- Amount or Price
(I) Ownership		(mm/dd/yy)	Code V (D)
(Instr. 3)			
(Instr. 4)	(Instr. 4)		

Common Stock	10/31/02	P	3,000	A
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* If the Form is filed by more than one Reporting Person, see Instruction 4 (b) (v) .

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Response)

(Over)

FORM 4 (continued)

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Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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10.

Owner-

Number ship

Form

Deriv-	of	2.			5.		7.
ative	Deriv-	11.			Number of		Title and Amount
Secur-	ative	Nature			Derivative	6.	of Underlying
ities	Secur-	or		4.	Securities	Date	Securities
Bene-	ity:	In-	3.	Trans-	Acquired (A)	Exercisable and	(Instr. 3 and 4)
ficially	Direct	direct	Trans-	action	or Disposed	Expiration Date	-----
Owned	(D) or	Bene-	action	Code	of (D)	(Month/Day/Year)	Amount
End	In-	ficial	Date	(Instr.	(Instr. 3,	-----	or
Title of	Owner-	ative	(Month/	8)	4 and 5)	Date	Expira-
Derivative	(I)	ship	Day/	-----	-----	Exer-	tion
Month	(Instr.	Secur-	Year)	Code V	(A) (D)	cisable	Date
Security	(Instr. 3)	ity					
(Instr. 3)	4)						
4)							

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Explanation of Responses:

/s/ William R. Hardcastle, Jr.	11/01/02
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**Signature of Reporting Person	Date
as Attorney-in-fact	

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

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See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.
If space provided is insufficient, see Instruction 6 for procedure.

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