Edgar Filing: Garrison Capital Inc. - Form 4

Garrison Capital Inc. Form 4 June 09, 2016			
			OMB APPROVAL
		RITIES AND EXCHANGE COMM	3235-0287
Check this box	vv	ashington, D.C. 20549	Number: January 31, Expires: January 31,
Section 16. Form 4 or		NGES IN BENEFICIAL OWNERS SECURITIES 16(a) of the Securities Exchange Act of	HIP OF Estimated average burden hours per response 0.5
obligations	(a) of the Public	Juliity Holding Company Act of 1935 Investment Company Act of 1940	
(Print or Type Responses)			
1. Name and Address of Reporting Tansey Joseph Bertrand	Symbo	er Name and Ticker or Trading 5. Rela Issuer on Capital Inc. [GARS]	tionship of Reporting Person(s) to
(Last) (First)		of Earliest Transaction	(Check all applicable)
1290 AVENUE OF THE AMERICAS, SUITE 914		/Day/Year)X	Director 10% Owner Officer (give title Other (specify below) Chief Executive Officer
(Street) NEW YORK, NY 10104		onth/Day/Year) Applica _X_Fo	vidual or Joint/Group Filing(Check ble Line) rm filed by One Reporting Person m filed by More than One Reporting
(City) (State)	(Zip) Ta	ble I - Non-Derivative Securities Acquired, I	Disposed of, or Beneficially Owned
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)	e 2A. Deemed Execution Date, if any (Month/Day/Year)	Transactionor Disposed of (D) Sec Code (Instr. 3, 4 and 5) Ber (Instr. 8) Ow Foll (A) Rep Tra	Amount of urities6.7. Nature of Indirectownership ueficiallyIndirectnedDirect (D)Ownership Iowinglowingor Indirect(Instr. 4)ported(I)nsaction(s)(Instr. 4)
			tr. 3 and 4)
Common Stock, par value 06/07/2016 \$0.001 per share		P 10,000 A 10.4749 77, (1)	996 D
Common Stock, par value \$0.001 per share		260	5,931 I See footnote $\frac{(2)}{2}$
Common Stock, par		45:	5,777 I See footnote

value \$0.001 per share			(3)
Common Stock, par value \$0.001 per share	67,202	Ι	See footnote (4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Exercisable Date

of

Shares

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1 1 1 0	•				~	(D) D		– – – 1	.		0.11
1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Title and	l 8. Pr	rice of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration D	ate	Amount of	Deri	vative	Deriv
Security	or Exercise	· · · ·	any	Code	of	(Month/Day)		Underlying	Secu	ırity	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	` J		Securities	(Inst	2	Bene
(1130.5)			(Wondin Day Tear)	(111501.0)					`	1. 5)	
	Derivative				Securities			(Instr. 3 and	d 4)		Owne
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
											X
					(Instr. 3,						
					4, and 5)						
								Amo	unt		
									June		
						Date	Expiration	or			
						Exercisable	Date	Title Num	nber		

Code V (A) (D)

Reporting Owners

Reporting Owner Name / Address		Relationships					
	to Porting O when I take (1144 cos		10% Owner	Officer	Other		
Tansey Joseph Bertrand 1290 AVENUE OF THE AMERICAS, SUITE 914 NEW YORK, NY 10104		Х		Chief Executive Officer			
Signatures							
/s/ Joseph	06/09/2016						

Tansey <u>**</u>Signature of

Date

Reporting Person

Reporting Owners

Explanation of Responses:

within the range set forth in this footnote.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported in column 4 is a weighted average price. The shares were purchased in multiple transactions at prices ranging from \$10.38 to \$10.50 inclusive on 6/7/2016. Upon request by the Securities and Exchange Commission, the Issuer or any security holder of the Issuer, the reporting person undertakes to provide full information regarding the number of shares purchased at each separate price

Mr. Tansey is a control person of Garrison Investment Group LP and its affiliates. Garrison Investment Group LP or one of its affiliates serves as investment adviser to Garrison Capital Fairchild I, Ltd. Garrison Capital Fairchild I, Ltd. directly owns 266,931 shares of

(2) Common Stock of the Issuer. Due to his control and ownership interest in Garrison Investment Group LP, Mr. Tansey may be viewed as having investment power over all of the shares owned by Garrison Capital Fairchild I, Ltd. although voting rights to the Common Stock have been passed through to the limited partners of Garrison Capital Fairchild I, Ltd. Mr. Tansey disclaims beneficial ownership of such shares of Common Stock, except to the extent of his pecuniary interest therein.

Mr. Tansey is a control person of Garrison Investment Group LP and its affiliates. Garrison Investment Group LP or one of its affiliates serves as investment adviser to Garrison Capital Fairchild II, Ltd. Garrison Capital Fairchild II, Ltd. directly owns 455,777 shares of

- (3) Common Stock of the Issuer. Due to his control and ownership interest in Garrison Investment Group LP, Mr. Tansey may be viewed as having investment power over all of the shares owned by Garrison Capital Fairchild II, Ltd. although voting rights to the Common Stock have been passed through to the limited partners of Garrison Capital Fairchild II, Ltd. Mr. Tansey disclaims beneficial ownership of such shares of Common Stock, except to the extent of his pecuniary interest therein.
- Due to his control and ownership interest in Garrison Capital Advisers Holdings MM LLC, Mr. Tansey may be viewed as having
 (4) investment power over all of the shares owned by such entity. Mr. Tansey disclaims beneficial ownership of such shares of Common Stock held by Garrison Capital Advisers Holdings MM LLC, except to the extent of his pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.