

Edgar Filing: DANIELSON HOLDING CORP - Form 4

DANIELSON HOLDING CORP  
Form 4  
September 04, 2002

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OMB APPROVAL  
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[ ] Check this box if no longer  
subject to Section 16. Form 4 or  
Form 5 obligations may continue.  
See Instruction 1(b).

OMB Number 3235-0287  
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U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 4

STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

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1. Name and Address of Reporting Person\*

Pate William

(Last)

(First)

(Middle)

2 North Riverside Plaza, Suite 600

(Street)

Chicago

Illinois

60606

(City)

(State)

(Zip)

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2. Issuer Name and Ticker or Trading Symbol

DHC

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3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

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4. Statement for Month/Year

December 2000

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5. If Amendment, Date of Original (Month/Year)

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6. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

[X] Director

[ ] 10% Owner

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Officer (give title below)

Other (specify below)

7. Individual or Joint/Group Filing (Check applicable line)

Form filed by One Reporting Person

Form filed by more than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,  
or Beneficially Owned

| 1.<br>Title of Security<br>(Instr. 3) | 2.<br>Transaction<br>Date<br>(mm/dd/yy) | 3.<br>Transaction<br>Code<br>(Instr. 8) |   | 4.<br>Securities Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) |                  | Price  |
|---------------------------------------|---|---|---|--|------------------|--------|
|                                       |   | Code                                    | V | Amount   | (A)<br>or<br>(D) |        |
| Common Stock, par value \$.10         | 12/12/00                                | P                                       |   | 25,000   | A                | \$3.75 |
|                                       |   |   |   |  |                  |        |
|                                       |   |   |   |  |                  |        |
|                                       |   |   |   |  |                  |        |
|                                       |   |   |   |  |                  |        |
|                                       |   |   |   |  |                  |        |
|                                       |   |   |   |  |                  |        |
|                                       |   |   |   |  |                  |        |
|                                       |   |   |   |  |                  |        |
|                                       |   |   |   |  |                  |        |
|                                       |   |   |   |  |                  |        |
|                                       |   |   |   |  |                  |        |
|                                       |   |   |   |  |                  |        |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction

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4(b) (v) .

(Over)  
SEC 1474 (3-99)

FORM 4 (continued)

Table II -- DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED  
(e.g., puts, calls, warrants, options, convertible securities)

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| 1.<br>Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conver-<br>sion<br>or<br>Exer-<br>cise<br>Price<br>of<br>Deriv-<br>ative<br>Secur-<br>ity | 3.<br>Trans-<br>action<br>Date<br>(Month/<br>Day/<br>Year) | 4.<br>Trans-<br>action<br>Code<br>(Instr.<br>8)<br>-----<br>Code V | 5.<br>Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed<br>of (D)<br>(Instr. 3,<br>4 and 5)<br>-----<br>(A) (D) | 6.<br>Date<br>Exercisable and<br>Expiration Date<br>(Month/Day/Year)<br>-----<br>Date Expira-<br>tion<br>Date | 7.<br>Title and Amount<br>of Underlying<br>Securities<br>(Instr. 3 and 4)<br>-----<br>Amount<br>or<br>Number<br>of<br>Shares |
|--|---|--|--|--|---|--|
|--|---|--|--|--|---|--|

|  |        |          |   |        |                  |                                 |
|--|--------|----------|---|--------|------------------|---------------------------------|
| Option to purchase<br>common stock (right<br>to buy) | \$4.00 | 12/12/00 | A | 22,800 | 6/21/01 12/12/10 | Common Stock<br>par value \$.10 |
|--|--------|----------|---|--------|------------------|---------------------------------|

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Explanation of Responses:

/S/ William C. Pate

9/4/02

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\*\*Signature of Reporting Person

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Date

\*\* Intentional misstatements or omissions of facts constitute Federal  
Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.  
If space provided is insufficient, see Instruction 6 for procedure.

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