### Edgar Filing: GUGGENHEIM ENHANCED EQUITY STRATEGY FUND - Form 3

#### GUGGENHEIM ENHANCED EQUITY STRATEGY FUND

Form 3 April 09, 2014

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

response...

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement GUGGENHEIM ENHANCED EQUITY STRATEGY Farley Jerry B (Month/Day/Year) FUND [GGE] 04/09/2014 (Middle) 4. Relationship of Reporting (Last) (First) 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 2455 CORPORATE WEST (Check all applicable) **DRIVE** (Street) 6. Individual or Joint/Group \_X\_ Director \_ 10% Owner Officer \_X\_\_ Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Trustee Person LISLE, ILÂ 60532 Form filed by More than One Reporting Person (City) (Zip) (State) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Ownership (Instr. 4) Beneficially Owned Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security		4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect	

(I) (Instr. 5)

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Farley Jerry B

2455 CORPORATE WEST DRIVE Â X Â Â Trustee

LISLE, ILÂ 60532

## **Signatures**

/s/ Jerry B. Farley by Mark E. Mathiasen pursuant to Power of Atty

04/09/2014

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

No securities are beneficially owned

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2