

ALPHA PRO TECH LTD

Form 4

June 04, 2015

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
HOFFMAN SHELDON

(Last) (First) (Middle)

**60 CENTURIAN DRIVE SUITE #
112**

(Street)

MARKHAM, A6 L3R 9R2

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

ALPHA PRO TECH LTD [APT]

3. Date of Earliest Transaction
(Month/Day/Year)

06/02/2015

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below) C.E.O.

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
common stock	06/02/2015		M	200,000	A \$ 1.6	1,155,189	D
common stock	06/02/2015		S	38,500	D \$ 2.48	1,116,689	D
common stock	06/03/2015		S	31,500	D \$ 2.39	1,085,189	D
common stock	06/04/2015		S	130,000	D \$ 2.4	955,189	D
common stock						410,051	I by trust, Mr Hoffman disclaims

common stock	44,325	I	beneficial ownership in Hoffman family trust by spouse, Mr. Hoffman disclaims beneficial ownership
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
employee stock options (right to buy)	\$ 1.6	06/02/2015		M		66,666		08/26/2011	08/26/2015	common stock	66,666
employee stock options (right to buy)	\$ 1.6	06/02/2015		M		66,667		08/26/2012	08/26/2015	common stock	66,667
employee stock options (right to buy)	\$ 1.6	06/02/2015		M		66,667		08/26/2013	08/26/2015	common stock	66,667

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HOFFMAN SHELDON 60 CENTURIAN DRIVE SUITE # 112 MARKHAM, A6 L3R 9R2	X		C.E.O.	

Signatures

SHELLY
HOFFMAN 06/04/2015

Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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