Edgar Filing: CIM Commercial Trust Corp - Form 4

| CIM Commercial Form 4 October 09, 2015 | • | | | | | | | |
|--|--|---|--|---|---|---|--|--|
| , | | | | | OMB AP | PROVAL | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | 3235-0287 | | |
| Check this box if no longer | | | | | Expires: | January 31, 2005 | | |
| subject to Section 16. Form 4 or | STATEMENT OF CHANGES IN BENEFICIAL OWNERSH SECURITIES | | | | Estimated ar burden hour response | verage | | |
| Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | |
| (Print or Type Respon | nses) | | | | | | | |
| 1. Name and Address Kuba Shaul | ss of Reporting Person [*] | Symbol | Issuer | | | of Reporting Person(s) to neck all applicable) | | |
| | | [CMCT] | | (Clicck | | | | |
| (Last) (4700 WILSHIRE | (First) (Middle) E BLVD | 3. Date of Earliest Trans (Month/Day/Year) 10/09/2015 | | X Director Officer (give ti below) | tleOther below) | Owner r (specify | | |
| () LOS ANGELES | Street) | 4. If Amendment, Date C Filed(Month/Day/Year) | | 6. Individual or Join Applicable Line) _X_ Form filed by Ou Form filed by Mo Person | ne Reporting Per | son | | |
| (City) (| (State) (Zip) | Table I - Non-Deri | vative Securities Acqu | uired, Disposed of, | or Beneficiall | y Owned | | |
| | ransaction Date 2A. Deen nth/Day/Year) Execution any (Month/D | Date, if Transaction(A | Securities Acquired) or Disposed of (D) (A) (A) or nount (D) Price | | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| Common 10/0 Stock | 09/2015 | P(1) = 22 | \$ | 3,576 <u>(3)</u> | I | See footnote (6) | | |
| Common Stock | | | | 353,944 <u>(3)</u> | I | See footnote | | |
| Common Stock | | | | 95,440,000 (<u>3)</u> | I | See footnote (5) | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. | 6. Date Exer | cisable and | 7. Titl | e and | 8. Price of | 9. Nu |
|-------------|-------------|---------------------|--------------------|------------|------------|--------------|-------------|---------|----------|-------------|--------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transacti | onNumber | Expiration D | ate | Amou | nt of | Derivative | Deriv |
| Security | or Exercise | | any | Code | of | (Month/Day/ | Year) | Under | lying | Security | Secu |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Derivative | e | | Securi | ities | (Instr. 5) | Bene |
| | Derivative | | | | Securities | | | (Instr. | 3 and 4) | | Owne |
| | Security | | | | Acquired | | | | | | Follo |
| | • | | | | (A) or | | | | | | Repo |
| | | | | | Disposed | | | | | | Trans |
| | | | | | of (D) | | | | | | (Instr |
| | | | | | (Instr. 3, | | | | | | |
| | | | | | 4, and 5) | | | | | | |
| | | | | | | | | | | | |
| | | | | | | | | | Amount | | |
| | | | | | | Date | Expiration | | or | | |
| | | | | | | Exercisable | Date | Title | Number | | |
| | | | | ~ | | | | | of | | |
| | | | | Code V | (A) (D) | | | | Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|---|---------------|-----------|---------|-------|--|--|
| 1 | Director | 10% Owner | Officer | Other | | |
| Kuba Shaul 4700 WILSHIRE BLVD LOS ANGELES, CA 90010 | Х | Х | | | | |
| Signatures | | | | | | |
| /s/ Eric Rubenfeld, attorney in fact | 10/09/2015 | | | | | |
| **Signature of Reporting Person | | Date | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The purchases reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by The Kuba Family Trust on September 14, 2015.

The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$19.25 to \$19.72, inclusive. The reporting person undertakes to provide to CIM Commercial Trust Corporation, any security holder of

(2) GIM Commercial Trust Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the ranges set forth in footnote (2) herein.

The reporting person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein, and the(3) inclusion of these shares in this report shall not be deemed an admission of beneficial ownership of all of the reported shares for purposes of Section 16 or for any other purpose.

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These shares are owned directly by CIM Service Provider, LLC. The reporting person, together with Richard Ressler and Avraham

(4) Shemesh, is a control person of CIM Holdings, Inc., which is the sole managing member of CIM Group, LLC, which is the sole equity member of CIM Service Provider, LLC. The reporting person, CIM Holdings, Inc. and CIM Group, LLC are indirect beneficial owners of 353,944 shares, subject to footnote (3) herein.

These shares are owned directly by Urban Partners II, LLC. The reporting person, together with Richard Ressler and Avraham Shemesh, is a control person of CIM Holdings, Inc., which is the sole managing member of CIM Group, LLC, which is the sole manager of CIM

- (5) Is a control person of Chy Holdings, Inc., when is the sole managing member of Urban Partners II, LLC, which is the sole managing member of Urban Partners II, LLC. The reporting person, CIM Holdings, Inc., CIM Group, LLC and CIM Urban Partners GP, LLC are indirect beneficial owners of 95,440,000 shares, subject to footnote (3) herein.
- (6) These shares are owned directly by The Kuba Family Trust. The reporting person is the grantor of The Kuba Family Trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.