

IMPAC MORTGAGE HOLDINGS INC

Form 4

July 21, 2016

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB
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if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
TOMKINSON JOSEPH R2. Issuer Name and Ticker or Trading
Symbol
IMPAC MORTGAGE HOLDINGS
INC [IMH]5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
19500 JAMBOREE RD
(Street)3. Date of Earliest Transaction
(Month/Day/Year)
07/19/2016☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)
Chairman, Chief Exec. & Dir.

IRVINE, CA 92612

4. If Amendment, Date Original
Filed(Month/Day/Year)6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock				(A) or (D)	769	D	
Common Stock				Code V Amount (D) Price	5,955	I	401K
Common Stock					1,130	I	IRA
Common Stock					215,400	I	Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and An Underlying Se (Instr. 3 and 4)		
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title
Non-Qualified Stock Option (right to buy)	\$ 0.53							06/09/2010 ⁽¹⁾	06/09/2019	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 2.73							12/03/2011 ⁽¹⁾	12/03/2020	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 13.81							11/27/2013 ⁽¹⁾	11/27/2022	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 10.65							07/23/2014 ⁽²⁾	07/23/2023	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 5.39							07/22/2015 ⁽³⁾	07/22/2024	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 20.5							07/21/2016 ⁽⁴⁾	07/21/2025	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 17.4	07/19/2016		A		32,000		07/19/2017 ⁽⁵⁾	07/19/2026	Common Stock

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
	X		Chairman, Chief Exec. & Dir.	

TOMKINSON JOSEPH R
19500 JAMBOREE RD
IRVINE, CA 92612

Signatures

Joseph
Tomkinson

07/21/2016

 Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options are fully vested
- (2) These options vest annually in 1/3 increments beginning on July 23, 2014.
- (3) These options vest annually in 1/3 increments beginning on July 22, 2015.
- (4) These options vest annually in 1/3 increments beginning on July 21, 2016.
- (5) These options vest annually in 1/3 increments beginning on July 19, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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