

CARLISLE COMPANIES INC

Form 4

August 08, 2016

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Selbach Scott C

2. Issuer Name and Ticker or Trading Symbol  
CARLISLE COMPANIES INC  
[CSL]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
08/05/2016

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
V.P., Corporate Development

C/O CARLISLE COMPANIES INCORPORATED, 11605 NORTH COMMUNITY HOUSE ROAD

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

CHARLOTTE, NC 28277

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code |   | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |       | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|---------------------|---|---|-------|---|--|-----------------------------------|
|                                 |                                      |  | Code                | V | Amount  | Price |   |  |                                   |
| Common Stock                    | 08/05/2016                           |  | M                   |   | 15,485  | A     | \$ 18.57  | 67,112   | D                                 |
| Common Stock                    | 08/05/2016                           |  | S                   |   | 15,485  | D     | \$ 105.35   | 51,627   | D                                 |
| Common Stock                    | 08/05/2016                           |  | M                   |   | 7,445   | A     | \$ 34.21  | 59,072   | D                                 |
| Common Stock                    | 08/05/2016                           |  | S                   |   | 7,445   | D     | \$ 105.63   | 51,627   | D                                 |

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|              |            |   |       |   |           |        |   |
|--------------|------------|---|-------|---|-----------|--------|---|
| Common Stock | 08/05/2016 | M | 7,225 | A | \$ 38.31  | 58,852 | D |
| Common Stock | 08/05/2016 | S | 7,225 | D | \$ 105.78 | 51,627 | D |
| Common Stock | 08/05/2016 | M | 5,930 | A | \$ 49.56  | 57,557 | D |
| Common Stock | 08/05/2016 | S | 5,930 | D | \$ 105.96 | 51,627 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares |        |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|--------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title                      |        |
| Common Stock Options (5)                   | \$ 18.57   | 08/05/2016                           |  | M                              | 15,485  | (6)  | 02/03/2019  | Common Stock               | 15,485 |
| Common Stock Options (5)                   | \$ 34.21   | 08/05/2016                           |  | M                              | 7,445   | (7)  | 02/01/2020  | Common Stock               | 7,445  |
| Common Stock Options (5)                   | \$ 38.31   | 08/05/2016                           |  | M                              | 7,225   | (8)  | 02/02/2021  | Common Stock               | 7,225  |
| Common Stock Options                       | \$ 49.56   | 08/05/2016                           |  | M                              | 5,930   | (9)  | 01/31/2022  | Common Stock               | 5,930  |

(5)

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                                |       |
|---|---------------|-----------|--------------------------------|-------|
|   | Director      | 10% Owner | Officer                        | Other |
| Selbach Scott C<br>C/O CARLISLE COMPANIES INCORPORATED<br>11605 NORTH COMMUNITY HOUSE ROAD<br>CHARLOTTE, NC 28277 |               |           | V.P., Corporate<br>Development |       |

## Signatures

/s/ Scott C. Selbach by Steven J. Ford,  
attorney-in-fact

08/08/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - (1) This transaction was executed in multiple trades at prices ranging from \$105.20 to \$105.50. The price reported above reflects the weighted average sales price. Mr. Selbach hereby undertakes to provide to the SEC staff, the issuer or a security holder of the issuer, upon request, full information regarding the number of shares sold at each separate price.
  - (2) This transaction was executed in multiple trades at prices ranging from \$105.50 to \$105.74. The price reported above reflects the weighted average sales price. Mr. Selbach hereby undertakes to provide to the SEC staff, the issuer or a security holder of the issuer, upon request, full information regarding the number of shares sold at each separate price.
  - (3) This transaction was executed in multiple trades at prices ranging from \$105.74 to \$105.86. The price reported above reflects the weighted average sales price. Mr. Selbach hereby undertakes to provide to the SEC staff, the issuer or a security holder of the issuer, upon request, full information regarding the number of shares sold at each separate price.
  - (4) This transaction was executed in multiple trades at prices ranging from \$105.86 to \$106.13. The price reported above reflects the weighted average sales price. Mr. Selbach hereby undertakes to provide to the SEC staff, the issuer or a security holder of the issuer, upon request, full information regarding the number of shares sold at each separate price.
  - (5) Right to buy.
  - (6) 5,162 options exercisable each on February 4, 2010 and February 4, 2011, and 5,161 options exercisable on February 4, 2012, cumulatively.
  - (7) 2,482 options exercisable each on February 2, 2011 and February 2, 2012, and 2,481 options exercisable on February 2, 2013, cumulatively.
  - (8) 2,408 options exercisable each on February 3, 2012 and February 3, 2013, and 2,409 options exercisable on February 3, 2014, cumulatively.
  - (9) 1,977 options exercisable each on February 1, 2013 and February 1, 2014, and 1,976 options exercisable on February 1, 2015, cumulatively.
  - (10) The transaction is the exercise of a derivative security.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.