Edgar Filing: Clean Energy Fuels Corp. - Form 4

Clean Energy Fuels Corp. Form 4 November 07, 2016 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to **SECURITIES** Section 16. Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Littlefair Andrew J Issuer Symbol Clean Energy Fuels Corp. [CLNE] (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) _X__ Director X_Officer (give title C/O CLEAN ENERGY FUELS 11/07/2016 below) CORP., 4675 MACARTHUR COURT, SUITE 800 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person _ Form filed by More than One Reporting NEWPORT BEACH, CA 92660 Person (State) (Zip) (City) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities Acquired (A) 5. Amount of 1.Title of 2. Transaction Date 2A. Deemed 3. Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) Securities (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially (Month/Day/Year) (Instr. 8) Owned Following

OMB 3235-0287 Number: January 31, Expires: 2005 Estimated average burden hours per response... 0.5

10% Owner

Other (specify

7. Nature of

Indirect

Beneficial

Ownership

(Instr. 4)

(Check all applicable)

CEO and President

6.

(I)

D

Ownership

Direct (D)

or Indirect

(Instr. 4)

Form:

below)

OMB APPROVAL

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Common

Stock

11/07/2016

Code V

Ρ

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Reported

802,393

Transaction(s)

(Instr. 3 and 4)

(A)

or

(D)

А

Amount

29,000

Price

3.4195

\$

(1)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relationships						
Reporting Owner Plane / Planess	Director	10% Owner	Officer	Other				
Littlefair Andrew J C/O CLEAN ENERGY FUELS CORP. 4675 MACARTHUR COURT, SUITE 800 NEWPORT BEACH, CA 92660	Х		CEO and President					
Signatures								
/s/ J. Nathan Jensen, Attorney-in-Fact	11/07/2016	5						
**Signature of Reporting Person	Date							

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The shares reported in this Form 4 were purchased in separate transactions at prices ranging from \$3.415 to \$3.42, with a weighted average purchase price of \$3.4195. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff (1)

of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the price range set forth in this footnote.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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