## Edgar Filing: MACK CALI REALTY CORP - Form 4

MACK CALI Form 4 April 06, 2017	REALTY CORF	2									
FORM Check this if no longer subject to Section 16. Form 4 or Form 5 obligations may contin <i>See</i> Instruc 1(b).	4 UNITED S	ENT OF ( uant to Se ) of the Pu	Wash CHANC ection 16 ublic Uti	nington, l GES IN E SECURI (a) of the lity Holdi	S AND EXCHANGE COMMISSION fon, D.C. 20549 IN BENEFICIAL OWNERSHIP OF URITIES If the Securities Exchange Act of 1934, Holding Company Act of 1935 or Section tent Company Act of 1940						
MACK WILLIAM L Symbol				Name <b>and</b> Ticker or Trading CALI REALTY CORP				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)(First)(Middle)3. Date of (Month/DaC/O MACK-CALI REALTY04/04/20CORPORATION, HARBORSIDE 3, 210 HUDSON ST., STE. 400400				-				X_Director10% Owner Officer (give titleOther (specify below) below)			
JERSEY CIT	(Street) Y, NJ 07311			dment, Date h/Day/Year)	e Original			6. Individual or J Applicable Line) _X_ Form filed by Form filed by 1 Person	One Reporting Pe	erson	
(City)	(State) (Z	State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemo Execution any (Month/Da	Date, if	3. Transactio Code (Instr. 8) Code V	4. Securi nAcquired Disposed (Instr. 3, Amount	l (A) o l of (D	) 5) Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	04/04/2017			A <u>(1)</u>	3,337	A	\$ 0 (1)	39,171	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom Stock Units	\$ 0 <u>(2)</u>	04/05/2017		А	103.786 ( <u>3)</u>	(4)	(4)	Common Stock	103.786

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## **Reporting Owners**

<u>\*\*</u>Signature of Reporting Person Date

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On April 4, 2017, the reporting person was granted 3,337 restricted shares of common stock pursuant to the 2013 Incentive Stock Plan of
 (1) Mack-Cali Realty Corporation and in consideration of services rendered as a member of the Board of Directors in 2016. The shares shall vest on January 1, 2018.

- (2) The phantom stock units convert to common stock on a one-for-one basis.
- (3) The number of phantom stock units awarded consists of a quarterly dividend credited on cumulative phantom stock units under the Mack-Cali Realty Corporation Deferred Compensation Plan for Directors.

The phantom stock units were accrued under the Mack-Cali Realty Corporation Deferred Compensation Plan for Directors and are to be
 (4) settled 100% in Mack-Cali Realty Corporation common stock upon the termination of the reporting person's service on the Board of Directors of Mack-Cali Realty Corporation or upon a change in control of Mack-Cali Realty Corporation.

Reported amount excludes 2,017,017 Common Units directly beneficially owned by the reporting person. Reported amount also excludes the following securities indirectly beneficially owned by the reporting person: (i) 100,000 Common Units held by the William & Phyllis

(5) Mack Foundation, Inc. a charitable foundation of which the reporting person is a trustee (the "Foundation") and (ii) 330,097 Common Units held by a trust (the "Trust") that is a member of a Section 13(d) group with the reporting person in which members of the reporting person's immediate family have a beneficial ownership interest. Pursuant to Rule 13d-4, the reporting person disclaims beneficial ownership of all securities held by the Foundation and the Trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.