AUBURN NATIONAL BANCORPORATION INC

Form 4

March 12, 2003

SEC Form 4

OMB APPROVAL UNITED STATES SECURITIES AND EXCHANGE FORM 4 COMMISSION Washington, D.C. 20549 [] Check this box if no longer subject to Section 16. Form OMB Number: 3235-0287 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP 4 or Form Expires: January 31, 2005 5 obligations may continue. Estimated average burden See Instruction 1(b). hours per response. 0.5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1. Name and Address of Reporting 2. Issuer Name 4. Statement for 6. Relationship of Reporting Person(s) Person' and Ticker or Trading Symbol (Month/Day/Year to Issuer Spencer Jr., Edward L (Check all applicable) 03/12/2003 **Auburn National** X Director X 10% Owner **Bancorporation Inc** (Last) (First) Officer (give title below) _ Other aubn (Middle) (specify below) 1230 West Thach 5. If Amendment, 3. I.R.S. Identification Date of Original Description Number of Reporting (Street) (Month/Day/Year) Person, if an entity Auburn, AL 36830 (voluntary) 7. Individual or Joint/Group 424-42-6414 Filing (Check Applicable Line) (City) (State) (Zip) X Form filed by One Reporting Person Form filed by More than One Reporting Person

	Ta	able I - Non-Derivat	ive Secu	uriti	es Acquire	ed, Dis	posed	of, or Beneficially	y Owned	
1. Title of Security (Instr. 3)	2.Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securit n(A) or Dis (Instr. (posed	Of (D)	5. Amount of Securities Beneficially Owned Following Reported	6. Owner-ship Form: Direct (D)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	٧	Amount	A/D	Price	Transaction(s) (Instr. 3 and 4)	or Indirect (I) (Instr. 4)	(111311. 4)
aubn common stock							\$	12,450	ı	By Trust
aubn common stock							\$	15,000	I	By Spouse
aubn common stock	03/12/2003		Р		500	Α	13.60	663,640	D	
aubn common stock							\$	1,100	ı	By Trust

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/ Day/ Year)	3A. Deemed Execution Date, if any (Month/ Day/ Year)	4. Transactio Code (Instr.8)		nNumber of Derivativ Secur		and ive Expirati intitiese(ED) ed (Month/		`		8. Price of Derivative Security (Instr.5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr.4)	10. Owner- ship Form of Deriv- ative Securities: Direct (D) or Indirect (I) (Instr.4)	11. Na In Be Ov (Ir
				Code	>	Α	D	DE	ED	Title	Amount or Number of Shares				

Explanation of Responses:

By: Date:

/s/ Edward L Spencer, Jr

Director

** Signature of Reporting Person

SEC 1474 (9-02)

02/13/2003

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB Number.

 $^{^{\}star}$ If the form is filed by more than one reporting person, see Instruction 4(b)(v).

^{**} Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).