AUBURN NATIONAL BANCORPORATION INC

Form 4

March 20, 2003

SEC Form 4

OMB APPROVAL UNITED STATES SECURITIES AND EXCHANGE FORM 4 COMMISSION Washington, D.C. 20549 [] Check this box if no longer subject to Section 16. Form OMB Number: 3235-0287 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP 4 or Form Expires: January 31, 2005 5 obligations may continue. Estimated average burden See Instruction 1(b). hours per response. 0.5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1. Name and Address of Reporting 2. Issuer Name 4. Statement for 6. Relationship of Reporting Person(s) and Ticker or Trading Symbol Person' (Month/Day/Year to Issuer Dumas, Robert W. (Check all applicable) 01/01/2002 **Auburn National** X Director _ 10% Owner **Bancorporation Inc** (Last) (First) Officer (give title below) _ Other (Middle) aubn (specify below) 784 Annabrook Drive 5. If Amendment, 3. I.R.S. Identification Date of Original Description **Director, President** Number of Reporting (Street) (Month/Day/Year) & CEO AuburnBank principal Person, if an entity Auburn. AL 36830 subsidiary of the company (voluntary) 420-78-5195 (City) (State) 7. Individual or Joint/Group (Zip) Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person

		I	I		I					I
1. Title of Security (Instr. 3)	2.Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired n(A) or Disposed Of (D) (Instr. 3, 4, and 5)			5. Amount of Securities Beneficially Owned Following	6. Owner- ship Form: Direct (D)	7. Nature of Indirect Beneficial Ownership
			Code	٧	Amount	A/D	Price	Reported Transaction(s) (Instr. 3 and 4)	or Indirect (I) (Instr. 4)	(Instr. 4)
aubn common stock								26,868	D	
aubn common stock								2,824	ı	By Parent
							\$			
							\$			

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				Table I				•	red, Disposed options, conv			•	
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/ Day/ Year)	3A. Deemed Execution Date, if any (Month/ Day/ Year)	4. Transactio Code (Instr.8)		Derivative Securities		6. Date Exercisable(DE) and Expiration Date(ED) (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr.5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr.4)
				Code	٧	A	D	DE	ED	Title	Amount or Number of Shares		
aubn stock option right to buy	\$11.35							01/01/2002	12/31/2005	aubn	200	\$	200

Explanation of Responses:

By: Date:
/s/ Robert W Dumas 03/19/2003

Officer

SEC 1474 (9-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB Number.

^{**} Signature of Reporting Person