## Edgar Filing: KROGER CO - Form 4

Form 4											
FORM	1	O STATES	S SECUR	ITIES A	ND EXC	CHAN	NGE (	COMMISSION		PPROVAL	
	1		Was	hington,	D.C. 205	549			Number:	3235-0287	
Check this if no long subject to Section 16 Form 4 or Form 5	F CHANGES IN BENEFICIAL OWNERSHIP ( SECURITIES						Expires: January 31 2005 Estimated average burden hours per response 0.5				
obligation may conti <i>See</i> Instru 1(b).	nue. Section 1'	7(a) of the		lity Hold	ing Com	pany	Act o	ge Act of 1934, f 1935 or Sectio 40	'n		
(Print or Type R	esponses)										
PHILLIPS SUSAN M Syn			Symbol	2. Issuer Name <b>and</b> Ticker or Trading Symbol KROGER CO [KR]				5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction				(Cheo	(Check all applicable)			
	ASHINGTON TY, 710 21ST S L STE 206		(Month/Da 12/09/20	ay/Year)				X Director Officer (give below)	title 10%	6 Owner er (specify	
WASHINGT	(Street) 4. If Amen Filed(Mont WASHINGTON, DC 20052				-			<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> <li>Person</li> </ul>			
(City)	(State)	(Zip)	Table	I - Non-D	erivative S	Securi	ties A c	quired, Disposed o	f or Beneficia	llv Owned	
1.Title of Security (Instr. 3)	le of 2. Transaction Date 2A. Deemed rity (Month/Day/Year) Execution Date, if any		3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A)			r )	5. Amount of Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	•		
Common Stock	12/09/2004			Code V A	Amount 5,000 (1)	or (D) A	Price \$ 0	(Instr. 3 and 4) 9,000	D		
Reminder: Reno	ort on a senarate li	ne for each c	lass of secur	ities henefi	cially own	ed dire	ectly or	indirectly			

eminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exer Expiration D (Month/Day,	ate	7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sh
Non-Qualified Stock Option	\$ 16.6	12/09/2004		А	10,000	(2)	12/09/2014	Common Stock	10,0

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
PHILLIPS SUSAN M GEORGE WASHINGTON UNIVERSITY 710 21ST ST., GOVT HALL STE 206 WASHINGTON, DC 20052	Х					
Signatures						
/s/ Susan M. Phillips, by Bruce M. Gack, Attorney-in-Fact	12/13/20		12/13/200	)4		
**Signature of Reporting Person			Date			

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock awarded pursuant to a long-term incentive plan of The Kroger Co. The restrictions lapse as to 50% one year from the date of the award, and as to 50% two years from the date of the award.
- (2) These options were granted under an option plan of The Kroger Co. and vest in equal annual installments in whole amounts over a five-year period, at the rate of 20% per year commencing one year from the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.