

SUN MICROSYSTEMS, INC.

Form 3

May 02, 2008

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Splain Michael E

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

04/28/2008

3. Issuer Name and Ticker or Trading Symbol

SUN MICROSYSTEMS, INC. [JAVA]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

4150 NETWORK CIRCLE

(Street)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

EVP, Microelectronics

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting Person

SANTA CLARA,Â CAÂ 95054

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Common Stock

24,116.5 ⁽¹⁾

D

Â

Common Stock

10,000

I

Shares held by Michael E. Splain & Donna M. Splain JT/WROS

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy) ⁽²⁾	Â ⁽³⁾	04/18/2009	Common Stock	2,500	\$ 74.32	D	Â
Employee Stock Option (Right to Buy) ⁽²⁾	Â ⁽³⁾	06/13/2009	Common Stock	2,500	\$ 65	D	Â
Employee Stock Option (Right to Buy) ⁽²⁾	Â ⁽³⁾	09/27/2009	Common Stock	3,750	\$ 31.64	D	Â
Employee Stock Option (Right to Buy) ⁽²⁾	Â ⁽³⁾	11/07/2009	Common Stock	6,250	\$ 50.36	D	Â
Employee Stock Option (Right to Buy) ⁽²⁾	Â ⁽³⁾	11/07/2009	Common Stock	6,250	\$ 50.36	D	Â
Employee Stock Option (Right to Buy) ⁽²⁾	Â ⁽³⁾	03/19/2010	Common Stock	50	\$ 36.56	D	Â
Employee Stock Option (Right to Buy) ⁽²⁾	Â ⁽³⁾	03/19/2010	Common Stock	3,750	\$ 36.56	D	Â
Employee Stock Option (Right to Buy) ⁽²⁾	Â ⁽³⁾	07/25/2010	Common Stock	7,500	\$ 14.8	D	Â
Employee Stock Option (Right to Buy) ⁽²⁾	Â ⁽³⁾	07/25/2010	Common Stock	11,250	\$ 14.8	D	Â
Employee Stock Option (Right to Buy) ⁽²⁾	Â ⁽³⁾	05/21/2011	Common Stock	11,250	\$ 16.8	D	Â
Employee Stock Option (Right to Buy) ⁽²⁾	Â ⁽³⁾	11/13/2011	Common Stock	11,250	\$ 16.832	D	Â
Employee Stock Option (Right to Buy) ⁽²⁾	Â ⁽³⁾	05/18/2012	Common Stock	10,000	\$ 15	D	Â
Employee Stock Option (Right to Buy) ⁽²⁾	Â ⁽³⁾	09/17/2012	Common Stock	12,500	\$ 15.76	D	Â
Employee Stock Option (Right to Buy) ⁽²⁾	Â ⁽³⁾	11/10/2012	Common Stock	5,000	\$ 18.4	D	Â
Employee Stock Option (Right to Buy) ⁽²⁾	Â ⁽³⁾	01/27/2013	Common Stock	15,000	\$ 16.48	D	Â
Employee Stock Option (Right to Buy) ⁽²⁾	Â ⁽³⁾	07/28/2013	Common Stock	20,750	\$ 15.4	D	Â
Employee Stock Option (Right to Buy) ⁽²⁾	Â ⁽³⁾	11/02/2014	Common Stock	17,500	\$ 21.32	D	Â
Employee Stock Option (Right to Buy) ⁽²⁾	Â ⁽³⁾	01/31/2015	Common Stock	13,125	\$ 26.56	D	Â
	Â ⁽³⁾	11/14/2015		15,525	\$ 20	D	Â

Employee Stock Option
(Right to Buy) ⁽²⁾Common
Stock

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Splain Michael E 4150 NETWORK CIRCLE SANTA CLARA, CA 95054	Â	Â	Â EVP, Microelectronics	Â

Signatures

/s/ Michael E.
Splain

04/30/2008

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This includes 1,124 shares of unvested restricted stock and 19,875 shares of unvested restricted stock units.
- (2) This option was granted under the Sun Microsystems, Inc. 1990 Long-Term Equity Incentive Plan.
- (3) This option vests and becomes exercisable in five equal annual installments beginning on the first anniversary of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.
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