

JOYCE THOMAS M  
Form 4  
November 18, 2010

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
JOYCE THOMAS M

2. Issuer Name and Ticker or Trading Symbol  
KNIGHT CAPITAL GROUP, INC.  
[KCG]

5. Relationship of Reporting Person(s) to Issuer  
  
(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction  
(Month/Day/Year)  
11/16/2010

\_\_\_\_ Director  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Chairman & CEO

KNIGHT CAPITAL GROUP, INC., 545 WASHINGTON BOULEVARD

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

JERSEY CITY, NJ 07310

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D) Code V Amount (D) Price			
Class A Common Stock	06/15/2010		J <sup>(1)</sup>	400,000 D \$ 0	41,759	D	
Class A Common Stock	06/15/2010		J <sup>(1)</sup>	400,000 A \$ 0	400,000	I	By Joyce Family 2010 GRAT
Class A Common Stock	08/20/2010		J <sup>(2)</sup>	123,939 D \$ 0	76,061	I	By Joyce Family 2009

GRAT

Class A Common Stock	08/20/2010	<u>J</u> <sup>(2)</sup>	123,939	A	\$ 0	165,698	D	
Class A Common Stock	11/16/2010	M	50,000	A	\$ 9.84	215,698	D	
Class A Common Stock	11/16/2010	<u>S</u> <sup>(3)</sup>	50,000	D	\$ 13.844 <u>(4)</u>	165,698	D	
Class A Common Stock	11/17/2010	M	50,000	A	\$ 9.84	215,698	D	
Class A Common Stock	11/17/2010	<u>S</u> <sup>(3)</sup>	50,000	D	\$ 13.527 <u>(5)</u>	165,698	D	
Restricted Stock Units (Previously Reported)						711,145	D	
Restricted Class A Common Stock (Previously Reported)						57,884	D	
Class A Common Stock (Previously Reported)						200,000	I	By Joyce Family 2009 GRAT II
Class A Common Stock (Previously Reported)						34,695	I	By Trust for Children

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)



## Edgar Filing: JOYCE THOMAS M - Form 4

Represents the sale of 50,000 shares in 15 separate transactions at prices ranging from \$13.46 to \$13.73 per share, resulting in a weighted average sale price per share of \$13.527. Reporting person undertakes to provide the SEC, the Issuer, or security holder full information pertaining to the number of shares sold at each separate price.

- (6) Breakdown of aggregate number of derivative securities beneficially owned following reported transactions (which have different exercise and expiration dates): 563,630 options and 1,000,000 restricted stock units ("RSUs"). As previously reported, the RSUs reported in Table II of this filing vest based upon the stock price of the Issuer's Class A Common Stock reaching certain specified levels.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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