

DUFFY DONALD

Form 4

November 02, 2011

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
DUFFY DONALD

(Last) (First) (Middle)

1911 WALKER AVENUE

(Street)

MONROVIA, CA 91016

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
STAAR SURGICAL CO [STAA]

3. Date of Earliest Transaction
(Month/Day/Year)
10/31/2011

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Common Stock	10/31/2011		S		200	D	\$ 9.11	57,800 ⁽¹⁾	D
Common Stock	10/31/2011		S		700	D	\$ 9.13	57,100 ⁽¹⁾	D
Common Stock	10/31/2011		S		1,000	D	\$ 9.14	56,100 ⁽¹⁾	D
Common Stock	10/31/2011		S		100	D	\$ 9.145	56,000 ⁽¹⁾	D
Common Stock	10/31/2011		S		944	D	\$ 9.15	55,056 ⁽¹⁾	D
	10/31/2011		S		128	D	\$ 9.16	54,928 ⁽¹⁾	D

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Common
Stock

Common Stock	10/31/2011	S	138	D	\$ 9.17	54,790 ⁽¹⁾	D
Common Stock	10/31/2011	S	162	D	\$ 9.18	54,628 ⁽¹⁾	D
Common Stock	10/31/2011	S	300	D	\$ 9.19	54,328 ⁽¹⁾	D
Common Stock	10/31/2011	S	100	D	\$ 9.2	54,228 ⁽¹⁾	D
Common Stock	10/31/2011	S	128	D	\$ 9.21	54,100 ⁽¹⁾	D
Common Stock	10/31/2011	S	100	D	\$ 9.22	54,000 ⁽¹⁾	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
DUFFY DONALD 1911 WALKER AVENUE MONROVIA, CA 91016	X

Reporting Owners

Signatures

/s/Deborah Andrews as attorney-in-fact for Donald
Duffy

11/02/2011

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Following all of the reported transactions Mr. Duffy's total beneficial ownership (as defined in Rule 13d-3 under the Exchange Act) is 74,000 shares of Common Stock, which includes 20,000 vested stock options. He also holds 20,000 unvested stock options.

Remarks:

This Statement on Form 4 reports Mr. Duffy's sale of 4,000 shares of STAAR Surgical Company Common Stock on October 3

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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