MINCE WILLIAM L

Form 4 June 11, 2012

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

response...

burden hours per

3235-0287

0.5

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

**OMB APPROVAL** 

Section 16. Form 4 or

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading MINCE WILLIAM L Symbol NATUS MEDICAL INC [BABY] (First)

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(Street)

(State)

(Middle)

(Zip)

3. Date of Earliest Transaction

(Month/Day/Year) 06/07/2012

(Check all applicable) Director 10% Owner

X\_ Officer (give title Other (specify below) below)

C/O NATUS MEDICAL **INCORPORATED, 1501** INDUSTRIAL ROAD

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

VP North American Operations

Applicable Line)

(Instr. 3 and 4)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

SAN CARLOS, CA 94070

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

4. Securities Acquired 5. Amount of 3. Transaction(A) or Disposed of Code (D) (Instr. 3, 4 and 5) (Instr. 8)

Amount

(A)

or

(D)

Securities Beneficially (D) or Owned Following (Instr. 4) Reported Transaction(s)

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Ownership Indirect (I) (Instr. 4)

Common

(City)

Stock, \$0.001 par

value per share

06/07/2012

06/07/2012

21,000 Α (1)

Code V

\$0 104,097

Price

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

#### Edgar Filing: MINCE WILLIAM L - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Derivative	or Exercise (Month/Day/Year)		Execution Date, if	TransactionDerivative		Expiration Date		Underlying Secu	
Security			any	Code	Securities	(Month/Day/Year)		(Instr. 3 and 4)	
(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)  Code V	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)				
					(A) (D)	Date Exercisable	Expiration Date	Title	An or No
Nonqualified	\$ 10.69	06/07/2012	06/07/2012	Α	42.000	07/07/2012(2)	06/07/2022	Common	4

## **Reporting Owners**

Reporting Owner Name / Address Relationships

3. Transaction Date 3A. Deemed

Director 10% Owner Officer Other

MINCE WILLIAM L C/O NATUS MEDICAL INCORPORATED 1501 INDUSTRIAL ROAD SAN CARLOS, CA 94070

**VP North American Operations** 

6. Date Exercisable and

7. Title and Ame

Stock

## **Signatures**

1. Title of

**Stock Option** 

/s/ STEVEN J. MURPHY, by Power of Attorney

06/11/2012

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares vest 50% on August 15, 2014 and 25% on each of August 15, 2015 and August 15, 2016.
- (2) Nonqualified options vest in 48 equal monthly installments beginning on the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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