Thakar Sumedh S Form 3 September 27, 2012

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement **OUALYS, INC. [OLYS]**  Thakar Sumedh S (Month/Day/Year) 09/27/2012 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O QUALYS, INC., 1600 (Check all applicable) **BRIDGE PARKWAY** (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting VP, Engineering Person **REDWOOD** Form filed by More than One CITY, CAÂ 94065 Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 4. Nature of Indirect Beneficial Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 9,539 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

3. Title and Amount of 1. Title of Derivative Security 5. 6. Nature of Indirect 2. Date Exercisable and (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership Beneficial Ownership (Month/Day/Year) **Derivative Security** or Exercise Form of (Instr. 5) (Instr. 4) Price of Derivative Derivative Security: Title Direct (D) Security

#### Edgar Filing: Thakar Sumedh S - Form 3

	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy)	(1)	06/29/2016	Common Stock	6,750	\$ 1.4	D	Â
Employee Stock Option (right to buy)	(1)	01/22/2018	Common Stock	5,000	\$ 2.6	D	Â
Employee Stock Option (right to buy)	(2)	01/27/2019	Common Stock	5,000	\$ 2.8	D	Â
Employee Stock Option (right to buy)	(3)	05/06/2020	Common Stock	5,000	\$ 4.1	D	Â
Employee Stock Option (right to buy)	(4)	02/02/2021	Common Stock	50,000	\$ 4.4	D	Â
Employee Stock Option (right to buy)	(5)	11/03/2021	Common Stock	20,000	\$ 5.9	D	Â
Employee Stock Option (right to buy)	(6)	04/29/2022	Common Stock	50,000	\$ 8.4	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
roporting o where I thine, i radices	Director	10% Owner	Officer	Other		
Thakar Sumedh S						
C/O QUALYS, INC.	â	Â	VP,	â		
1600 BRIDGE PARKWAY	А	А	VP, Engineering	Α		
REDWOOD CITY, CA 94065						

### **Signatures**

/s/ Bruce K. Posey, by power of attorney

09/27/2012

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option is fully vested and immediately exercisable.
- (2) The option is subject to an early exercise provision and is immediately exercisable. One forty-eighth of the shares subject to the option vested on January 16, 2009 and one forty-eighth of the shares vest monthly thereafter.
- (3) The option is subject to an early exercise provision and is immediately exercisable. One forty-eighth of the shares subject to the option vested on January 16, 2010 and one forty-eighth of the shares vest monthly thereafter.
- (4) The option is subject to an early exercise provision and is immediately exercisable. One forty-eighth of the shares subject to the option vested on January 1, 2011 and one forty-eighth of the shares vest monthly thereafter.
- (5) The option is subject to an early exercise provision and is immediately exercisable. One forty-eighth of the shares subject to the option vested on December 4, 2011 and one forty-eighth of the shares vest monthly thereafter.

Reporting Owners 2

#### Edgar Filing: Thakar Sumedh S - Form 3

(6) The option is subject to an early exercise provision and is immediately exercisable. One forty-eighth of the shares subject to the option vested on May 30, 2012 and one forty-eighth of the shares vest monthly thereafter.

Â

#### **Remarks:**

Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.