Whiting Lawson E Form 3 March 29, 2013

#### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement BROWN FORMAN CORP [BFA, BFB] Whiting Lawson E (Month/Day/Year) 03/28/2013 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 850 DIXIE HIGHWAY (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person LOUISVILLE, Â KYÂ 40210 (give title below) (specify below) Form filed by More than One Chief Brands Officer Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Class A Common 1.591 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		Securities U	3. Title and Amount of Securities Underlying Derivative Security		5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D) or Indirect		

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				Shares		(I) (Instr. 5)	
Stock Appreciation Rights	05/01/2012	04/30/2019	Class B Common	4,124	\$ 27.05	D	Â
Stock Appreciation Rights	05/01/2013	04/30/2020	Class B Common	3,955	\$ 38.43	D	Â
Stock Appreciation Rights	05/01/2014	04/30/2021	Class B Common	8,578	\$ 46.4	D	Â
Stock Appreciation Rights	05/01/2015	04/30/2022	Class B Common	7,759	\$ 58.7	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b>Fg</b>	Director	tor 10% Owner Officer		Other		
Whiting Lawson E 850 DIXIE HIGHWAY LOUISVILLE, KY 40210	Â	Â	Chief Brands Officer	Â		

## **Signatures**

Laura H. Pulliam, Attorney in Fact for Lawson E.
Whiting

03/29/2013

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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