

Alphatec Holdings, Inc.  
Form 4  
June 12, 2013

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Ryan Patrick

(Last) (First) (Middle)

C/O ALPHATEC SPINE,  
INC., 5818 EL CAMINO REAL

(Street)

CARLSBAD, CA, CA 92008

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
Alphatec Holdings, Inc. [ATEC]

3. Date of Earliest Transaction  
(Month/Day/Year)  
06/10/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
\_X\_ Officer (give title below) \_\_\_ Other (specify below)

COO and President, Int'l.

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  | Code                           | V   | Amount  | (D)  | Price                             |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security | 2. Conversion or Exercise | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any | 4. Transaction Code | 5. Number of Derivative Securities | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|---------------------------------|---------------------------|--------------------------------------|-----------------------------------|---------------------|------------------------------------|--|---|
|---------------------------------|---------------------------|--------------------------------------|-----------------------------------|---------------------|------------------------------------|--|---|

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| (Instr. 3)             | Price of Derivative Security | (Month/Day/Year) | (Instr. 8) | Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | Code | V | (A) | (D) | Date Exercisable          | Expiration Date | Title        | Amount Number Shares   |
|------------------------|------------------------------|------------------|------------|--|------|---|-----|-----|---------------------------|-----------------|--------------|------------------------|
| NQ Common Stock        | \$ 2.05                      | 06/10/2013       | A          | 50,000   |      |   |     |     | 12/19/2013 <sup>(5)</sup> | 12/19/2019      | Common Stock | 50,000                 |
| Incentive Stock Option | \$ 1.72                      |                  |            |  |      |   |     |     | 01/04/2014 <sup>(4)</sup> | 01/04/2023      | Common Stock | 125,000                |
| NQ Stock Option        | \$ 2.05                      |                  |            |  |      |   |     |     | 12/19/2013 <sup>(2)</sup> | 12/19/2019      | Common Stock | 200,000 <sup>(1)</sup> |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                           |       |
|---|---------------|-----------|---------------------------|-------|
|   | Director      | 10% Owner | Officer                   | Other |
| Ryan Patrick<br>C/O ALPHATEC SPINE, INC.<br>5818 EL CAMINO REAL<br>CARLSBAD, CA, CA 92008 |               |           | COO and President, Int'l. |       |

## Signatures

/s/ Eburn S. Garner, esq.,  
 Attorney-in-fact  
 \*\*Signature of Reporting Person  
 06/12/2013  
 Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option grant was made after the holder agreed to forfeit options granted to him on April 25, 2011. This transaction is described in greater detail in a Schedule TO that the issuer filed with the SEC on December 19, 2012.
- (2) The options vests over three years with one third vesting on the anniversary of the grant date and the remaining two thirds vesting each three months thereafter in eight tranches.
- (3) Exercise price per share.
- (4) The options vests over four years with one quarter vesting on the anniversary of the grant date and the remaining three quarters vesting each three months thereafter in 12 tranches.
- (5) The options vests over three years with one third vesting on December 19, 2013 and the remaining two thirds vesting each three months thereafter in eight tranches.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.