

NETGEAR, INC  
Form 4  
July 03, 2013

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**SOARES DAVID**

(Last) (First) (Middle)

**NETGEAR, INC., 350 E. PLUMERIA DR.**

(Street)

**SAN JOSE, CA 95134**

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
**NETGEAR, INC [NTGR]**

3. Date of Earliest Transaction (Month/Day/Year)  
**07/01/2013**

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
**SVP and GM, RBU**

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	07/01/2013		S <sup>(10)</sup>		4,485	D	\$ 30.51
Common Stock	07/02/2013		M <sup>(10)</sup>		7,666	A	\$ 20.8
Common Stock	07/02/2013		S <sup>(10)</sup>		7,666	D	\$ 31.6361 (11)
Common Stock	07/02/2013		M <sup>(10)</sup>		10,250	A	\$ 21.1
Common Stock	07/02/2013		S <sup>(10)</sup>		10,250	D	\$ 31.6417

Edgar Filing: NETGEAR, INC - Form 4

(12)

Common Stock 07/02/2013 M<sup>(10)</sup> 1,667 A \$ 11.41 21,067 D

Common Stock 07/02/2013 S<sup>(10)</sup> 1,667 D \$ 31.5943 19,400 D  
(13)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 29.23					(1)	01/12/2017	Common Stock	20,000
Employee Stock Option (Right to Buy)	\$ 28.79					(2)	01/11/2018	Common Stock	20,000
Employee Stock Option (Right to Buy)	\$ 11.41	07/02/2013		M <sup>(10)</sup>	1,667	(3)	01/16/2019	Common Stock	1,667
Employee Stock Option (Right to Buy)	\$ 21.1	07/02/2013		M <sup>(10)</sup>	10,250	(4)	02/02/2020	Common Stock	10,250

Employee  
Stock  
Option  
(Right to  
Buy)

\$ 20.8

07/02/2013

M<sup>(10)</sup>

7,666

(5)

06/13/2020

Common  
Stock

7,666

Employee  
Stock  
Option  
(Right to  
Buy)

\$ 35.32

(6)

02/03/2021

Common  
Stock

20,000

Employee  
Stock  
Option  
(Right to  
Buy)

\$ 33.15

(7)

04/26/2021

Common  
Stock

3,400

Employee  
Stock  
Option  
(Right to  
Buy)

\$ 31.31

(8)

06/06/2022

Common  
Stock

20,000

Employee  
Stock  
Option  
(Right to  
Buy)

\$ 32.54

(9)

05/16/2023

Common  
Stock

20,000

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SOARES DAVID NETGEAR, INC. 350 E. PLUMERIA DR. SAN JOSE, CA 95134			SVP and GM, RBU	

## Signatures

/s/ Andrew W. Kim, Attorney  
in Fact

07/03/2013

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 25% of the option grant is exercisable on 1/12/2008, and 1/48 of the option grant is exercisable each month thereafter.
  - (2) 25% of the option grant is exercisable on 1/11/2009, and 1/48 of the option grant is exercisable each month thereafter.

## Edgar Filing: NETGEAR, INC - Form 4

- (3) 25% of the option grant is exercisable on 1/16/2010, and 1/48 of the option grant is exercisable each month thereafter.
- (4) 25% of the option grant is exercisable on 2/2/2011, and 1/48 of the option grant is exercisable each month thereafter.
- (5) 25% of the shares subject to the option shall vest on 6/13/2011, and 1/48th of the shares subject to the option shall vest each month thereafter, subject to the optionee continuing to be a service provider on such dates.
- (6) 25% of the option grant is exercisable on 2/3/2012, and 1/48 of the option grant is exercisable each month thereafter.
- (7) 25% of the option grant is exercisable on 4/26/2012, and 1/48 of the option grant is exercisable each month thereafter.
- (8) 25% of the option grant is exercisable on 6/6/2013, and 1/48 of the option grant is exercisable each month thereafter.
- (9) 25% of the option grant is exercisable on 5/16/2014, and 1/48 of the option grant is exercisable each month thereafter.
- (10) The exercises and sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on May 30, 2013.

(11) The sale price reported in Column 4 of Table 1 represents the weighted average sale price of the shares sold ranging from \$31.35 to \$31.79 per share. Upon request by the Commission staff, the Issuer, or a security holder of the Issuer, the Reporting Person will provide full information regarding the number of shares sold at each separate price.

(12) The sale price reported in Column 4 of Table 1 represents the weighted average sale price of the shares sold ranging from \$31.35 to \$31.79 per share. Upon request by the Commission staff, the Issuer, or a security holder of the Issuer, the Reporting Person will provide full information regarding the number of shares sold at each separate price.

(13) The sale price reported in Column 4 of Table 1 represents the weighted average sale price of the shares sold ranging from \$31.35 to \$31.79 per share. Upon request by the Commission staff, the Issuer, or a security holder of the Issuer, the Reporting Person will provide full information regarding the number of shares sold at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.