Carbonite Inc Form 4 September 04, 2013

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB** Washington, D.C. 20549 Number:

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

MV Management X, L.L.C.

2. Issuer Name and Ticker or Trading Symbol

Carbonite Inc [CARB]

(Last)

(First)

(Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

3000 SAND HILL ROAD, BLDG. 4, 08/29/2013

(Street)

SUITE 100

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

Expires:

response...

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

Director \_X\_\_ 10% Owner \_\_ Other (specify Officer (give title

below)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Form filed by One Reporting Person X Form filed by More than One Reporting

MENLO PARK, CA 94025

(City)	(State)	(Zip) Tal	ble I - Non	-Derivative Se	ecuriti	es Acqui	red, Disposed of,	or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities 2 our Disposed of (Instr. 3, 4 and Amount	of (D)	red (A)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	08/29/2013		S	487,328	D	\$ 14.25	6,162,938	I	By Menlo Ventures X, L.P. (2) (3)
Common Stock	08/29/2013		S	4,143	D	\$ 14.25	52,385	I	By Menlo Entrepreneurs Fund X, L.P.
Common Stock	08/29/2013		S	8,529	D	\$ 14.25	107,855	I	By MMEF X, L.P. <u>(5)</u>
Common Stock	08/29/2013		<u>J(1)</u>	1,461,988	D	<u>(1)</u>	4,700,950	I (1)	By Menlo Ventures X,

								L.P. $\frac{(2)}{(3)}$
Common Stock	08/29/2013	J <u>(1)</u>	12,427	D	(1)	39,958	I (1)	By Menlo Entrepreneurs Fund X, L.P.
Common Stock	08/29/2013	J <u>(1)</u>	25,585	D	(1)	82,270	I (1)	By MMEF X, L.P. <u>(5)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (Instr.	8) ]	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	int of rlying	8. Price of Derivative Security (Instr. 5)
				Code	V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

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## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Reporting Owner Paulie / Pauliess		10% Owner	Officer	Other		
MV Management X, L.L.C. 3000 SAND HILL ROAD, BLDG. 4, SUITE 100 MENLO PARK, CA 94025		X				
Menlo Ventures X L P 3000 SAND HILL ROAD, BLDG. 4, SUITE 100 MENLO PARK, CA 94025		X				
MENLO ENTREPRENEURS FUND X LP 3000 SAND HILL ROAD, BLDG. 4, SUITE 100 MENLO PARK, CA 94025		X				
		X				

Reporting Owners 2

MMEF X LP 3000 SAND HILL ROAD, BLDG. 4, SUITE 100 MENLO PARK, CA 94025

#### **Signatures**

MV Management X, L.L.C., /s/ Pravin A. Vazirani, Managing Member	09/03/2013
**Signature of Reporting Person	Date
Menlo Ventures X, L.P., By: MV Management X, L.L.C., its General Partner, /s/ Pravin A. Vazirani, Managing Member	09/03/2013
**Signature of Reporting Person	Date
Menlo Entrepreneurs Fund X, L.P., By: MV Management X, L.L.C., its General Partner, /s/ Pravin A. Vazirani, Managing Member	09/03/2013
**Signature of Reporting Person	Date
MMEF X, L.P., By: MV Management X, L.L.C., its General Partner, /s/ Pravin A. Vazirani, Managing Member	09/03/2013
**Signature of Reporting Person	Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Explanation of code (J): Pro rata in kind distribution without consideration by Menlo Ventures X, L.P., Menlo Entrepreneurs Fund X, L.P. and MMEF X, L.P. to all their partners, including MV Management X, L.L.C., which in turn distributed pro rata in kind without consideration the 15,000 shares it received to its members, which include Pravin A. Vazirani, a managing member of MV Management X, L.L.C and a director of the Issuer. Mr. Vazirani is reporting on a separate form and is not part of this joint filing.
- These shares are owned directly by Menlo Ventures X, L.P. ("Menlo X"), of which MV Management X, L.L.C. ("MVM-X") is the sole general partner and exercises voting and investment power over these shares. The managing members of MVM-X are Shawn T. Carolan, H.D. Montgomery, Douglas C. Carlisle, John W. Jarve, Sonja H. Perkins, Mark A. Siegel, and Pravin A. Vazirani. The reporting person and its managing members disclaim beneficial ownership of these securities, except to the extent of their respective proportionate pecuniary interest therein and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for Section 16 or any other purpose.(Continued in footnote 3)
- Pravin A. Vazirani is a director of Issuer. Menlo X, Menlo Entrepreneurs Fund X, L.P. and MMEF X, L.P. may be deemed to be a member of a Section 13(d) "group." Menlo Entrepreneurs Fund X, L.P. and MMEF X, L.P. disclose the existence of such group and disclaim beneficial ownership of any shares held by Menlo X.
  - These shares are owned directly by Menlo Entrepreneurs Fund X, L.P., of which MVM-X is the sole general partner and exercises voting and investment power over these shares. The managing members of MVM-X are Shawn T. Carolan, H.D. Montgomery, Douglas C. Carlisle, John W. Jarve, Sonja H. Perkins, Mark A. Siegel, and Pravin A. Vazirani. The reporting person and its managing members
- disclaim beneficial ownership of these securities, except to the extent of their respective proportionate pecuniary interest therein and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for Section 16 or any other purpose. Pravin A. Vazirani is a director of Issuer. Menlo X, Menlo Entrepreneurs Fund X, L.P. and MMEF X, L.P. may be deemed to be a member of a Section 13(d) "group." Menlo X and MMEF X, L.P. disclose the existence of such group and disclaim beneficial ownership of any shares held by Menlo Entrepreneurs Fund X, L.P.
  - Theses shares are owned directly by MMEF X, L.P., of which MVM-X is the sole general partner and exercises voting and investment power over these shares. The managing members of MVM-X are Shawn T. Carolan, H.D. Montgomery, Douglas C. Carlisle, John W. Jarve, Sonja H. Perkins, Mark A. Siegel, and Pravin A. Vazirani. The reporting person and its managing members disclaim beneficial
- ownership of these securities, except to the extent of their respective proportionate pecuniary interest therein and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for Section 16 or any other purpose. Pravin A. Vazirani is a director of Issuer. Menlo X, Menlo Entrepreneurs Fund X, L.P. and MMEF X, L.P. may be deemed to be a member of a Section 13(d) "group." Menlo X and Menlo Entrepreneurs Fund X, L.P. disclose the existence of such group and disclaim beneficial ownership of any shares held by MMEF X, L.P.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Signatures 3

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