

BROWN FORMAN CORP

Form 4

October 22, 2013

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Joy Catherine Frazier

2. Issuer Name and Ticker or Trading Symbol  
BROWN FORMAN CORP [BFA, BFB]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
850 DIXIE HIGHWAY  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
10/01/2012

\_\_\_\_ Director  
\_\_\_\_ Officer (give title below)  
 10% Owner  
\_\_\_\_ Other (specify below)

LOUISVILLE, KY 40210

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Class A Common	10/01/2012		G <sup>(1)</sup>		139,018	D	\$ 0
					9,086,138	I	
							Avish Agincourt, LLC
Class A Common	10/01/2012		G <sup>(1)</sup>		139,018	A	\$ 0
					9,086,138	I	
							Avish Agincourt, LLC
Class A Common	01/02/2013		W <sup>(2)</sup>		1,264,790	D	\$ 0
					9,086,138	I	
							Avish Agincourt, LLC
Class A Common	01/02/2013		W <sup>(2)</sup>		1,264,790	A	\$ 0
					9,086,138	I	
							Avish Agincourt,

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								LLC	
Class A Common	02/20/2013		W <sup>(2)</sup>	1,551,004	D	\$ 0	9,086,138	I	Avish Agincourt, LLC
Class A Common	02/20/2013		W <sup>(2)</sup>	1,551,004	A	\$ 0	9,086,138	I	Avish Agincourt, LLC
Class A Common							190,326	D	
Class A Common							23,184	I	Spouse
Class A Common							5,922	I	Caitlin Joy UTMA
Class A Common							22,000	I	Caitlin Joy
Class A Common							16,000	I	Charles Joy
Class A Common							10,548	I	Charles Joy UTMA
Class A Common							5,000	I	Christopher Joy
Class A Common							22,824	I	Christopher Joy UTMA
Class A Common							10,000	I	Alexander Joy
Class A Common							15,633	I	Alexander Joy UTMA
Class A Common							56,334	I	Annsley Thornton Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nature of Derivative Security Beneficially Owned
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