#### **BROWN FORMAN CORP**

Form 4

October 22, 2013

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

30(h) of the Investment Company Act of 1940

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

(First)

(Street)

Joy Catherine Frazier

2. Issuer Name and Ticker or Trading

Symbol

BROWN FORMAN CORP [BFA,

BFB]

5. Relationship of Reporting Person(s) to

Issuer

Director

(Check all applicable)

(Last)

3. Date of Earliest Transaction

(Month/Day/Year) 10/01/2012

below)

\_X\_\_ 10% Owner \_ Other (specify Officer (give title

850 DIXIE HIGHWAY

(Middle)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

LOUISVILLE, KY 40210

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)				5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)			
Class A Common	10/01/2012		G <u>(1)</u>	139,018	D	\$0	9,086,138	I	Avish Agincourt, LLC		
Class A Common	10/01/2012		G <u>(1)</u>	139,018	A	\$0	9,086,138	I	Avish Agincourt, LLC		
Class A Common	01/02/2013		W(2)	1,264,790	D	\$0	9,086,138	I	Avish Agincourt, LLC		
Class A Common	01/02/2013		W(2)	1,264,790	A	\$0	9,086,138	I	Avish Agincourt,		

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								LLC
Class A Common	02/20/2013	W(2)	1,551,004	D	\$ 0	9,086,138	I	Avish Agincourt, LLC
Class A Common	02/20/2013	W(2)	1,551,004	A	\$ 0	9,086,138	I	Avish Agincourt, LLC
Class A Common						190,326	D	
Class A Common						23,184	I	Spouse
Class A Common						5,922	I	Caitlin Joy UTMA
Class A Common						22,000	I	Caitlin Joy
Class A Common						16,000	I	Charles Joy
Class A Common						10,548	I	Charles Joy UTMA
Class A Common						5,000	I	Christopher Joy
Class A Common						22,824	I	Christopher Joy UTMA
Class A Common						10,000	I	Alexander Joy
Class A Common						15,633	I	Alexander Joy UTMA
Class A Common						56,334	I	Annsley Thornton Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orNumber	Expiration Date	Amount of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/Year)	Underlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e	Securities	(Instr. 5)	Bene
	Derivative				Securities	S	(Instr. 3 and 4)		Own

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### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
•	Director	10% Owner	Officer	Other			
Joy Catherine Frazier							
850 DIXIE HIGHWAY		X					
LOUISVILLE, KY 40210							

## **Signatures**

Laura H. Pulliam, Attorney in Fact for Catherine
Frazier Joy

10/22/2013

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents transfer of LLC units from the insider to a family trust.
- (2) Represents termination of a trust following the death of a family member and the distribution of LLC units from the trust to the insider.

#### **Remarks:**

a currently valid OMB number.

The undersigned disclaims beneficial ownership of shares held by any entities set forth on this form except to the extent of her Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays

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