

FLOTEK INDUSTRIES INC/CN/

Form 3/A

December 18, 2013

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Â SNIVELY JOSHUA A

(Last) (First) (Middle)

10603 W. SAM HOUSTON  
PKWY N.,Â SUITE 300

(Street)

HOUSTON,Â TXÂ 77064

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

05/10/2013

3. Issuer Name and Ticker or Trading Symbol

FLOTEK INDUSTRIES INC/CN/ [FTK]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

President, Florida Chemical Co

5. If Amendment, Date Original Filed(Month/Day/Year)

05/10/2013

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security  
(Instr. 4)2. Amount of Securities  
Beneficially Owned  
(Instr. 4)3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)

Common Stock

118,132 <sup>(1)</sup>

I

By Joshua A. Snively, Sr. and  
Heather B. Snively Revocable  
Trust <sup>(2)</sup>

Common Stock

203,934 <sup>(1)</sup>

I

By Joshua A. Snively, Sr. Grantor  
Retained Annuity Trust <sup>(2)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not  
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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

# Edgar Filing: FLOTEK INDUSTRIES INC/CN/ - Form 3/A

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SNIVELY JOSHUA A 10603 W. SAM HOUSTON PKWY N. SUITE 300 HOUSTON, TX 77064	^	^	^ President, Florida Chemical Co	^

## Signatures

/s/ Joshua A.  
Snively, Sr. 12/18/2013

\_\_Signature of Reporting  
Person Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- These amounts as originally reported inadvertently excluded shares that were held in escrow at the closing of the transaction that gave rise
- (1) to these trusts' stock ownership. The additional shares being added to the trusts' holdings by this amendment are 8,991 for the revocable trust and 15,521 for the GRAT, respectively.
  - (2) Mr. Snively, Sr. is a trustee and beneficiary of the named trusts. Mr. Snively, Sr. disclaims beneficial ownership of such shares except to the extent of his pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.  
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