

APPLE INC  
Form 4  
May 05, 2014

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
AHRENDTS ANGELA J

(Last) (First) (Middle)

1 INFINITE LOOP

(Street)

CUPERTINO, CA 95014

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
APPLE INC [AAPL]

3. Date of Earliest Transaction (Month/Day/Year)  
05/01/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)  
Senior Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |     |       |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|-----|-------|
|                                 |                                      |  |                                | (A) or (D)  | Code  | V  | Amount                            | (D) | Price |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security | 2. Conversion or Exercise | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any | 4. Transaction Code | 5. Number of Derivative Securities | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. De... |
|---------------------------------|---------------------------|--------------------------------------|-----------------------------------|---------------------|------------------------------------|--|---|----------|
|---------------------------------|---------------------------|--------------------------------------|-----------------------------------|---------------------|------------------------------------|--|---|----------|

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| (Instr. 3)            | Price of Derivative Security | (Month/Day/Year) | (Instr. 8) | Acquired (A) or Disposed of (D)<br>(Instr. 3, 4, and 5) | (Instr. 3, 4, and 5) | Date Exercisable | Expiration Date | Title      | Amount or Number of Shares |        |
|-----------------------|------------------------------|------------------|------------|---|----------------------|------------------|-----------------|------------|----------------------------|--------|
|                       |                              |                  | Code       | V   | (A)                  | (D)              |                 |            |                            |        |
| Restricted Stock Unit | <u>(1)</u>                   | 05/01/2014       | A          |   | 62,555               |                  | <u>(2)</u>      | <u>(2)</u> | Common Stock               | 62,555 |
| Restricted Stock Unit | <u>(1)</u>                   | 05/01/2014       | A          |   | 33,476               |                  | <u>(3)</u>      | <u>(3)</u> | Common Stock               | 33,476 |
| Restricted Stock Unit | <u>(1)</u>                   | 05/01/2014       | A          |   | 5,817                |                  | <u>(4)</u>      | <u>(4)</u> | Common Stock               | 5,817  |
| Restricted Stock Unit | <u>(1)</u>                   | 05/01/2014       | A          |   | 5,739                |                  | <u>(5)</u>      | <u>(5)</u> | Common Stock               | 5,739  |
| Restricted Stock Unit | <u>(1)</u>                   | 05/01/2014       | A          |   | 5,747                |                  | <u>(6)</u>      | <u>(6)</u> | Common Stock               | 5,747  |

## Reporting Owners

| Reporting Owner Name / Address                              | Relationships |           |                       |       |
|---|---------------|-----------|-----------------------|-------|
|   | Director      | 10% Owner | Officer               | Other |
| AHRENDTS ANGELA J<br>1 INFINITE LOOP<br>CUPERTINO, CA 95014 |               |           | Senior Vice President |       |

## Signatures

/s/ Gene Levoff, Attorney-in-fact for Angela Ahrendts

05/05/2014

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents the right to receive, at settlement, one share of common stock.
- (2) These restricted stock units are scheduled to vest 26% on June 1, 2014; 32% on April 1, 2015; 21% on July 18, 2015; 15% on June 14, 2016; 3% on June 14, 2017; and 3% on June 14, 2018.
- (3) One-third of these restricted stock units are scheduled to vest on each of May 1, 2015; May 1, 2016; and May 1, 2017.  
Vesting of these restricted stock units depends on Apple Inc.'s relative total shareholder return from May 1, 2014 through and including
- (4) April 30, 2015. The "target" number of restricted stock units is reported. Between 0% and 200% of the target number of units may vest on May 1, 2015, with the vesting percentage determined based on actual performance.  
Vesting of these restricted stock units depends on Apple Inc.'s relative total shareholder return from May 1, 2014 through and including
- (5) April 30, 2016. The "target" number of restricted stock units is reported. Between 0% and 200% of the target number of units may vest on May 1, 2016, with the vesting percentage determined based on actual performance.

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- Vesting of these restricted stock units depends on Apple Inc.'s relative total shareholder return from May 1, 2014 through and including
- (6) April 30, 2017. The "target" number of restricted stock units is reported. Between 0% and 200% of the target number of units may vest on May 1, 2017, with the vesting percentage determined based on actual performance.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.