STERIS CORP Form 4 June 10, 2015

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

if no longer subject to Section 16. Form 4 or

Form 5 obligations

may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \* Rosebrough Walter M Jr

> (First) (Middle)

5960 HEISLEY ROAD

(Street)

(Ctota)

2. Issuer Name and Ticker or Trading Symbol

STERIS CORP [STE]

3. Date of Earliest Transaction

(Month/Day/Year) 06/09/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

\_X\_\_ Director 10% Owner X\_ Officer (give title ) \_ Other (specify below)

President & CEO 6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

MENTOR, OH 44060

(City)	(State)	(Zip) Tab	le I - Non-	Derivativ	e Secu	rities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	omr Dispo (Instr. 3,	sed of 4 and (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Shares, No Par Value	06/09/2015		S	5,000 (1)	D	\$ 63.562 (2)	156,970	D	
Common Shares, No Par Value	06/10/2015		S	5,000 (3)	D	\$ 64.0445 ( <u>4)</u>	151,970 <u>(5)</u>	D	
Common Shares, No Par Value	06/09/2015		S	5,000 (6)	D	\$ 63.5626 (7)	35,000	I	See Footnote Below. (8)
Common Shares, No	06/10/2015		S	5,000 (9)	D	\$ 64.0476	30,000	I	See Footnote

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Par Value	(10)			Below. <u>(8)</u>
Common Shares, No Par Value		45,460	I	See Footnote Below.
Common Shares, No Par Value		4,540	I	See Footnote Below.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Securi (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Rosebrough Walter M Jr 5960 HEISLEY ROAD	X		President & CEO				
MENTOR OH 44060							

## **Signatures**

/s/ Dennis P. Patton, Authorized Representative under Power of 06/10/2015 Attorney

> \*\*Signature of Reporting Person Date

2 Reporting Owners

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This transaction involved the open market sale on June 9, 2015 of a total of 5,000 shares, held by the Reporting Person as Trustee, from his revocable trust established for his benefit, pursuant to a Rule 10b5-1 Stock Trading Plan entered into by the Reporting Person, as Trustee, on December 5, 2014.
- This represents the average sales price of the 5,000 shares sold in the open market. The actual 24 sales prices ranged from \$63.3600 per share to \$63.9600 per share. Issuer, upon request by the SEC staff, will provide full information regarding the number of shares sold at each of these 24 sales prices.
- This transaction involved the open market sale on June 10, 2015 of a total of 5,000 shares, held by the Reporting Person as Trustee, from his revocable trust established for his benefit, pursuant to a Rule 10b5-1 Stock Trading Plan entered into by the Reporting Person, as Trustee, on December 5, 2014.
- This represents the average sales price of the 5,000 shares sold in the open market. The actual 25 sales prices ranged from \$63.6600 per share to \$64.2400 per share. Issuer, upon request by the SEC staff, will provide full information regarding the number of shares sold at each of these 25 sales prices.
- (5)
  121,720 of these Common Shares are held by the Reporting Person as Trustee of a revocable trust established for his benefit and 30,250 of these Common Shares are restricted and also are held by the Reporting Person as Trustee of a revocable trust established for his benefit. The restrictions on the restricted Common Shares lapse as follows: 5,000 on May 31, 2016; 6,250 on May 31, 2016; 6,250 on May 31, 2017; 4,250 on May 30, 2016; 4,250 on May 30, 2017 and 4,250 on May 30, 2018.
- This transaction involved the open market sale on June 9, 2015 of a total of 5,000 shares, held by the Reporting Person as Trustee, from an irrevocable trust established for the benefit of the children of the Reporting Person and the Reporting Person's Spouse, pursuant to a Rule 10b5-1 Stock Trading Plan entered into by the Reporting Person, as Trustee, on December 4, 2014.
- This represents the average sales price of the 5,000 shares sold in the open market. The actual 24 sales prices ranged from \$63.3600 per share to \$63.9600 per share. Issuer, upon request by the SEC staff, will provide full information regarding the number of shares sold at each of these 24 sales prices.
- (8) These shares are held by the Reporting Person as Trustee of an irrevocable trust established for the benefit of the children of the Reporting Person and the Reporting Person's Spouse.
- This transaction involved the open market sale on June 10, 2015 of a total of 5,000 shares, Held by the Reporting Person as Trustee, from an irrevocable trust established for the benefit of the children of the Reporting Person and the Reporting Person's Spouse, pursuant to a Rule 10b5-1 Stock Trading Plan entered into by the Reporting Person, as Trustee, on December 4, 2014.
- This represents the average sales price of the 5,000 shares sold in the open market. The actual 26 sales prices ranged from \$63.6600 per (10) share to \$64.2400 per share. Issuer, upon request by the SEC staff, will provide full information regarding the number of shares sold at each of these 26 sales prices.
- (11) These shares are held by the Reporting Person's Spouse as Trustee of a revocable trust established for her benefit.
- (12) These shares are held by the Reporting Person as Trustee of an irrevocable trust established for the benefit of the grandchildren of the Reporting Person and the Reporting Person's Spouse.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.