People's United Financial, Inc.

Form 3

April 17, 2014

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

owned directly or indirectly.

1. Name and Address of Reporting Person *  Rosato R David			2. Date of Event Require Statement (Month/Day/Year)		3. Issuer Name and Ticker or Trading Symbol People's United Financial, Inc. [PBCT]				
(Last)	(First)	(Middle)	04/16/2014	4. Relationsh Person(s) to 1	ip of Reporting Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)			
C/O PEOPL BANK, 85				(Check all applicable)			, ,		
(Street) BRIDGEPORT, CT 06604							6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City)	(State)	(Zip)	Table l	Table I - Non-Derivative Securities Beneficially Owned					
1.Title of Security (Instr. 4)		Benefici	2. Amount of Securities Beneficially Owned (Instr. 4)		4. Nature of Indirect Beneficial Ownership (Instr. 5)				
Common Sto	ock		36,412	<u>(1)</u>	D	Â			
Common Stock			2,680 (2)		D	Â			
Common Stock			1,224 (3)		D	Â			
Common Stock			2,664 (4)		D	Â			
Common Stock			3,480 (4)		D	Â			
Common Stock			4,874 (5)		D	Â			
Common Stock			355		I	IRA fb/o Dana Rosato			
Common Stock			120		I	IRA fb/o Kevin Rosato			
Reminder: Repo	_	ate line for ea	ach class of securities ben	eficially S	SEC 1473 (7-02	2)			

1

#### Edgar Filing: People's United Financial, Inc. - Form 3

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)		
Employee Option to Buy	(6)	12/12/2017	Common Stock	43,830	\$ 16.805	D	Â	
Employee Option to Buy	(6)	02/21/2018	Common Stock	6,040	\$ 16.94	D	Â	
Employee Option to Buy	(6)	01/22/2019	Common Stock	8,565	\$ 16.575	D	Â	
Employee Option to Buy	(7)	07/16/2009	Common Stock	39,250	\$ 15.62	D	Â	
Employee Option to Buy	(6)	01/28/2020	Common Stock	8,427	\$ 15.8	D	Â	
Employee Option to Buy	(8)	02/17/2021	Common Stock	12,432	\$ 13.415	D	Â	
Employee Option to Buy	(8)	02/16/2022	Common Stock	15,209	\$ 12.65	D	Â	
Employee Option to Buy	(9)	02/21/2023	Common Stock	29,218	\$ 12.94	D	Â	
Employee Option to Buy	(9)	02/20/2024	Common Stock	43,359	\$ 13.9025	D	Â	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
. 0	Director	10% Owner	Officer	Other	
Rosato R David C/O PEOPLE'S UNITED BANK 850 MAIN STREET BRIDGEPORT. CT 06604	Â	Â	Senior Exec Vice President	Â	

Reporting Owners 2

#### **Signatures**

/s/ R. David Rosato 04/17/2014

\*\*Signature of Reporting Person

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

Date

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 1,936 shares owned indirectly through the Peoples United Financial, Inc. Employee Stock Ownership Plan. Information is based on 03/31/2014 Plan statement.
- (2) These are restricted shares that vest on Jul. 16, 2014
- (3) These are restricted shares that vest on Mar. 1, 2015.
- (4) These are restricted shares that vest in equal annual increments on Mar. 1, 2015 and Mar. 1, 2016.
- (5) These are restricted shares that vest in equal annual increments on Mar. 1, 2015, Mar. 1, 2016 and Mar. 1, 2017.
- (6) These options have become exercisable in accordance with the terms of the applicable option grants.
- (7) Exercisable in equal annual increments over five years beginning on the 1st anniversary of the grant date, which is ten years prior to the applicable expiration date.
- (8) Exercisable in annual increments beginning on Mar. 1 immediately following the 2nd anniversary (50%), 3rd anniversary (25%) and 4th anniversary (25%) of the grant date, which is ten years prior to the applicable expiration date.
- (9) Exercisable in equal annual increments over three years beginning on Mar. 1 immediately following the 1st anniversary of the grant date, which is ten years prior to the applicable expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3