## Edgar Filing: PUTNAM JOHN STEPHEN - Form 4

#### **PUTNAM JOHN STEPHEN**

Form 4

October 31, 2002

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 4 Washington, D.C. 20549 OMB APPROVAL

Check this box if no longer subject to Section 16. Form 4 or

may continue. See

Instruction 1(b).

Form 5 obligations STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB Number: 3235-0287 Expires: January 31, 2005

Estimated average

burden hours per response 0.5

(Print or Type Filed prusuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1934 or Section 30(f) of the Investment

Responses) Company Act of 1940

1. Name and Address of<br/>Reporting Person\*6. Relationship of Reporting<br/>Person(s) to Issuer2. Issuer Name and Ticker or Trading SymbolPerson(s) to Issuer

(Check all applicable)

Putnam John Stephen		Raymond James Financial (RJF)					X Director		10% Owner		
							O	fficer		Other	
(Last)	(First)	(Middle)	3. IRS or S	Social Security	4. Statement for			give tle		(specify	
			Number of	Reporting	Month/Day/Year		b	elow)		below)	
880 Carillon Parkway (Street)			Person (Voluntary) October			2002					
					5. If Amendment (Check			Check A	dual or Joint/G Applicable Line		
St.					Date of Original		P	erson	filed by One F		
Petersburg	FL	33716			(Month/Day/Year)	)			ed by More tha g Person	iii Olie	
(City)	(State)	(ZIP)	Tab	ole I – Non Deriv	ative Securities Acq	quired, Disp	osed	of , or	beneficially O	wned	
1. Title of						4. Securitie	es Ac	quired			7. Nature
Security			2. Trans-	2A. Deemed	3. Trans-	(A)			5. Amount of	6. Owner-	of
(Instr. 3)			action	Execution	action	or Dispose	ed of (	D)	Securities	ship	Indirect
			Date	Date, if any	Code	(Instr. 3, 4	and 5	5)	Beneficially	Form:	Beneficial
				(Month/Day/Ye	ar (Instr. 8)				Owned at	Direct	Owner-
			(Month/						Following	(D) or	ship
			Day/						Reported	Indirect	
						(	(A)				
			Year)				or		Transactions	(I)	
Raymond Ja (RJF)	mes Fin	ancial, Inc.			Code V	Amount (	(D)	Price	(Instr. 3 and 4)	(Instr. 4)	(Instr. 4)
RJF Common Stock 10/3			10/30/200	)2	G	768	D		131,27	8 D	
RJF Comm	on Stoc	k							12,47	4 I	<b>ESOP</b>

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Over) SEC 1474

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

(7-96)

FORM 4 (contin 1. Title	Table II -	– Derivati	ve Securities Acqu		osed of, or Be onvertible sect	•	Owned (e.g.	, puts, calls, v	warrants.	,		
of Deriva Securit		3. Trans-	3.A Deemed	4. Trans-	5. Number or Derivative	6. Date Ex	er-	7. Title and of			9. Number	10.Owner-
(Instr. 3)	sion or	action	Execution Date,	action	Securities Ac-	cisable and	i	Underlying Securities		of	of deriv-	ship
	Exercise Price of	Date	if any (Month/Day/Year	Code (Instr. 8)	quired (A) or Dis- posed of (D)	Expiration Date		(Instr. 3 and	4)	deriv- Secur-	ative Secur-	Form of Security:
	Deri-	(Month/	•		(Instr. 3, 4, and 5)	(Month/Da	ay/			•	ities	Direct
	vative Security	Day/ Year)				Year)				(Instr. 5)	ficially Owned	(D) or Indirect (I) Instr. 4)
								Α	amount		of deriv- Month	
						Date Exer-	Expira- tion		r Jumber f		(Instr. 4)	
				Code V	(A) (D)	cisible	Date	Title S	hares			
Employ Stock Option (Right to buy Employ Stock	n t r) vee					11/18/02	01/18/03	Common stock	2,700	22.1667	,	
Option (Right to buy Employ Stock	n t y) vee					Current- 11/18/02	01/18/03	Common stock	6,300	22.1667	7	
Option (Right to buy Employ Stock	t y) yee					11/18/02- 11/18/04	01/18/05	Common stock	6,000	20.0625	5	
Option (Right to buy Employ Stock Option (Right	t yee a						01/28/07 01/28/07	Common stock Common stock		5 32.0000 4 32.0000		0 D

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to buy)							
Call							
Options							
(obligation			Common				
to sell)	8/12/03	08/12/03	stock	38,750	-	1	D
Put							
Options							
(right			Common				
to buy)	8/12/03	08/12/03	stock	38,750	-	1	D

Explanation of Responses:

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal

/S/ JOHN S. PUTNAM

10/31/2002

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

\*\*Signature of Reporting Person

Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient.

see Instructions 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB Number.

Page 2 SEC 1474 (7-96)