

JAMES THOMAS A
Form 5
October 04, 2002

**UNITED STATES SECURITIES AND
EXCHANGE COMMISSION Washington, D.C.
20549**

FORM 5

Check this box if no longer
subject to Section 16. Form 4 or
Form 5 obligations may continue.
See Instruction 1(b). Form 3
Holdings Reported Form 4
Transactions Reported

**STATEMENT OF CHANGES IN
BENEFICIAL OWNERSHIP**

OMB APPROVAL

OMB Number:
3235-0362
Expires:
September 30, 1998
Estimated
average burden
hours per response 1.0

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section
17(a) of the Public Utility Holding Company Act of 1934 or Section 30(f) of the
Investment Company Act of 1940

(Print or Type
Responses)

1. Name and Address of Reporting Person*

2. Issuer Name and Ticker or Trading Symbol

6. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

James Thomas A
(Last) (First) (Middle)

Raymond James Financial (RJF)
3. IRS or
Social Security
Number of
Reporting
Person
(Voluntary)

4. Statement
for
Month/Year

Sept. 2002

5. If
Amendment
Date of
Original
(Month/Year)

Director
 Officer
(give

10%
Owner
Other
(specify

title below)

below)

**880 Carillon
Parkway**

(Street)

Chairman, CEO

7. Individual or Joint/Group Filing (Check
Applicable Line)

St. Petersburg FL 33716
(City) (State) (ZIP)

Form filed by One Reporting Person

Form filed by More than One Reporting Person

Table I – Non Derivative Securities Acquired, Disposed of, or beneficially Owned

1. Title of Security (Instr. 3)	2. Trans- action Date (Month/ Day/ Year)	3. Trans- action Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at End of Month (Instr. 3 and 4)	6. Owner- ship Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Owner- ship
Raymond James Financial, Inc. (RJF)			Amount (D) Price	(Instr. 4)	(Instr. 4)	
RJF Common Stock				6,081,131	D	Thomas A. James TTEE 12/20/76
RJF Common Stock				466,659	I	Thomas A. James Employee Stock Ownership Plan & Trust
RJF Common Stock				21,372	I	Mary S. James (wife)
RJF Common Stock				323,508	I	Robert A. James & Helen W. James Annuity Trust
RJF Common Stock				152,909	I	

**RJF Common
Stock**

**James
Grandchildren
Trust**

**RJF Common
Stock**

3,362,680 I

**Robert A. James
Trust**

**RJF Common
Stock**

19,613 I

**Thomas A. James
TTEE 12/20/76
Thomas A. James**

NO UNREPORTED ACTIVITY

* If the form is filed by more than one reporting person, see
Instruction 4(b)(v).

(Over)
SEC 2270
(9-96)

FORM 5
(continued)

Table II — Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts,
calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Conver- sion or Exercise Price of Deri- vative Security	3. Trans- action Date (Month/ Day/ Year)	4. Trans- action Code (Instr. 8)	5. Number or Deriv- ative Securities Ac- quired (A) or Dis- posed of (D) (Instr. 3, 4, and 5)	6. Date Exer- cisable and Expiration Date (Month/Day/ Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Secur- ity (Instr. 5)	9. Number of deriv- ative Secur- ities Bene- ficially Owned at End of deriv- Month (Instr. 4)	10. Owner- ship Form of Security: Direct (D) or Indirect (I) Instr. 4)	11. Nature of Indirect Benefi- cial Owner- ship (Instr. 4)
(Instr. 3)				(A) (D)						

Edgar Filing: JAMES THOMAS A - Form 5

Explanation of Responses:

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations,
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/S/ THOMAS A. JAMES
**Signature of Reporting Person

October 4, 2002
Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient.
see Instructions 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Page 2
SEC 2270
(9-96)