SHUCK ROBERT F

Form 5

October 04, 2002

FORM 5

UNITED STATES SECURITIES AND **EXCHANGE COMMISSION Washington,** D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Form 3 Holdings Reported Form 4 Transactions Reported

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number: 3235-0362 Expires: September 30, 1998

Estimated

average burden hours per response 1.0

Filed prusuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1934 or Section 30(f) of the Investment (Print or Type

Responses)

Company Act of 1940

1. Name and Address of Reporting Person* 2. Issuer Name and Ticker or Trading Symbol 6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

10% Shuck Robert F. III Raymond James Financial (RJF) X Director Owner 3. IRS or Social 4. Statement Other (First) (Middle) Security X Officer (give (specify (Last) for Number of Reporting Month/Year title below) below) 880 Carillon Person Vice Chairman **Parkway Sept. 2002** (Voluntary) 7. Individual orJoint/Group Filing 5. If (Check Applicable (Street) Amendment Line) Date of X Form filed by One Original Reporting Person Form filed by More than St. Petersburg FL 33716 One Reporting Person (State) (ZIP) (City) Table I - Non Derivative Securities Acquired, Disposed of , or beneficially Owned 3. 4. Securities Acquired 1. Title of Security 2. Trans-Trans-5. Amount of 6. Owner-7. Nature of (A) (Instr. 3) action action or Disposed of (D) Securities ship Indirect (Instr. 3, 4 and 5) Beneficial Date Code Beneficially Form: (Instr. Owned at Direct Owner-8) (Month/ End of Month (D) or ship Day/ Indirect (A) Year) (Instr. 3 and 4) (I) or Raymond James Financial, Inc. (RJF) Price (Instr. 4) Amount (D) (Instr. 4) **RJF Common** 254,837 D Stock **RJF Common** Stock 215,893 Ι **Spouse RJF Common** 2,884 I **ESOP** Stock

NO UNREPORTED ACTIVITY

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

(Over) SEC 2270 (9-96)

FORM 5 Table II – Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls (continued) warrants, options, convertible securities) 1. Title of	,
Derivative 2. 4. 5. Number 7. Title and	9.
	ce Number 10.Owner-11.Nature
Securities Underlying	
(Instr. 3) sion or action action Ac- cisable and Securities of quired (A) (Instr. 3 and	of deriv- ship of
Exercise Date Code or Dis- Expiration 4) deriv-	ative Form of Indirect
Price of 8) (D) Date Secur (Instr. 3,	- Secur- Security: Benefi-
Deri- (Month/ 4, and 5) (Month/Day/ ity	ities Direct cial
vative Day/ Year) (Instr	Bene- (D) or Owner-
Security Year) 5)	ficially Indirect ship
	Owned (I) (Instr. 4)
	at End Instr. 4)
	of deriv- Month
Amount	Woltin
Date Expira- or	(Instr. 4)
Number	
Exer- tion Title of	
cisible Date Shares	
$(A) \qquad (D)$	
Employee Stark Outland	
Stock Option (Right to Common	
buy) Current 1/18/2003 stock 3,000 22.10	667
Employee	
Stock Option	
(Right to Common	
buy) 11/18/2002 1/18/2003 stock 750 22.10	667
Employee	
Stock Option	
(Right to Common buy) 9/28/2003 9/28/2004 stock 200 20.50	000
Employee 9/26/2003 9/26/2004 Stock 200 20.50	700
Stock Option	
(Right to Common	
buy) 9/27/2004 9/27/2005 stock 1000 19.18	375
Employee 10/2/2005 10/2/2006 Common 300 31.3	750
Stock Option stock	
(Right to	

Edgar Filing: SHUCK ROBERT F - Form 5

Employee Stock Option (Right to University of the Common of to sell)	buy)			
Right to buy 10/1/2006 12/1/2006 stock 300 26.0500	Employee			
buy) 10/1/2006 12/1/2006 stock 300 26.0500 Employee Stock Option (Right to Common buy) 11/28/04-11/28/05 1/28/2007 stock 4,000 32.0000 Employee Stock Option (Right to Common of Stock Option) (All options) (All op	Stock Option			
Employee Stock Option (Right to buy) 11/28/04-11/28/05 1/28/2007 stock 4,000 32.0000 Employee Stock Option (Right to common kight to buy) 11/28/2004 1/28/2007 stock 325 32.0000 Employee Stock Option (Right to common kight to common kight to buy) 11/28/2004 1/28/2007 stock 325 32.0000 Employee Stock Option (Right to common kight to common kight to common kight to buy) 11/28/04-11/28/05 1/28/2007 stock 425 32.0000 10,300 D Call options (obligation to sell) 5/9/2002 11/16/2002 stock 5,000 220.00 D Call options (obligation common kight to common kight to sell) 5/9/2002 11/16/2002 stock 5,000 220.00 D Spouse	(Right to	Common		
Stock Option (Right to buy) 11/28/04-11/28/06 1/28/2007 stock 4,000 32.0000	buy)	10/1/2006 12/1/2006 stock 300 26.0500		
Common buy 11/28/04-11/28/06 1/28/2007 stock 4,000 32.0000	Employee			
buy) 11/28/04-11/28/06 1/28/2007 stock 4,000 32.0000 Employee Stock Option (Right to Common buy) 11/28/2004 1/28/2007 stock 325 32.0000 Employee Stock Option (Right to Common buy) 11/28/2004 1/28/2007 stock 325 32.0000 Employee Stock Option (Right to Common buy) 11/28/04-11/28/06 1/28/2007 stock 425 32.0000 10,300 D Call options (obligation to sell) 5/9/2002 11/16/2002 stock 5,000 220.00 D Call options (obligation to sell) 5/9/2002 11/16/2002 stock 5,000 220.00 D Call options (obligation to sell) 5/9/2002 11/16/2002 stock 5,000 220.00 I Spouse	Stock Option			
Employee Stock Option (Right to Common buy) 11/28/2004 1/28/2007 stock 325 32.0000 Employee Stock Option (Right to Common buy) 11/28/04-11/28/06 1/28/2007 stock 325 32.0000 D Call options (obligation to sell) 5/9/2002 11/16/2002 stock 5,000 220.00 D Call options (obligation to sell) 5/9/2002 11/16/2002 stock 5,000 220.00 I Spouse	(Right to	Common		
Stock Option (Right to Common buy) 11/28/2004 1/28/2007 stock 325 32.0000	buy)	11/28/04-11/28/06 1/28/2007 stock 4,000 32.0000		
Common buy 11/28/2004 1/28/2007 stock 325 32,0000	Employee			
buy) 11/28/2004 1/28/2007 stock 325 32,0000 Employee Stock Option (Right to Common buy) 11/28/04-11/28/06 1/28/2007 stock 425 32,0000 10,300 D Call options (obligation to sell) 5/9/2002 11/16/2002 stock 5,000 220.00 D Call options (obligation Common to sell) 5/9/2002 11/16/2002 stock 5,000 220.00 I Spouse	Stock Option			
Employee Stock Option (Right to Common buy) 11/28/04-11/28/06 1/28/2007 stock 425 32.0000 10,300 D Call options (obligation Common to sell) 5/9/2002 11/16/2002 stock 5,000 220.00 D Call options (obligation Common to sell) 5/9/2002 11/16/2002 stock 5,000 220.00 I Spouse	(Right to	Common		
Stock Option (Right to Common buy) 11/28/04-11/28/06 1/28/2007 stock 425 32.0000 10,300 D	buy)	11/28/2004 1/28/2007 stock 325 32.0000		
Common buy 11/28/04-11/28/06 1/28/2007 stock 425 32.0000 10,300 D	Employee			
buy) 11/28/04-11/28/06 1/28/2007 stock 425 32.0000 10,300 D Call options (obligation	Stock Option			
Call options Common (obligation Common to sell) 5/9/2002 11/16/2002 stock 5,000 220.00 D Call options Common Common To sell To sell 5/9/2002 11/16/2002 stock 5,000 220.00 I Spouse	(Right to			
(obligation to sell) Common 5/9/2002 Common 5/9/2002 D 5/9/2002	buy)	11/28/04-11/28/06 1/28/2007 stock 425 32.0000 10,300	D	
to sell) 5/9/2002 11/16/2002 stock 5,000 220.00 D Call options (obligation to sell) 5/9/2002 11/16/2002 stock 5,000 220.00 I Spouse	Call options			
Call options Common (obligation Common to sell) 5/9/2002 11/16/2002 stock 5,000 220.00 I Spouse	(obligation	Common		
(obligation Common to sell)	to sell)	5/9/2002 11/16/2002 stock 5,000 220.00	D	
to sell) 5/9/2002 11/16/2002 stock 5,000 220.00 I Spouse	Call options			
,	(obligation	Common		
Explanation of Responses:	to sell)	5/9/2002 11/16/2002 stock 5,000 220.00	I	Spouse
	Explanation of Respons	ses:		

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations,

/S/ ROBERT F. SHUCK
**Signature of Reporting Person

October 3, 2002 Date

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient.

see Instructions 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB Number.

Page 2 SEC 2270 (9-96)