

Edgar Filing: BARBA GLENN P - Form 3

BARBA GLENN P
Form 3
February 19, 2003

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
FORM 3

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

1. Name and Address of Reporting Person
Glenn P Barba
212 West Michigan Avenue
MI, Jackson 49201
2. Date of Event Requiring Statement (Month/Day/Year)
2/10/2003
3. IRS or Social Security Number of Reporting Person (Voluntary)
4. Issuer Name and Ticker or Trading Symbol
CMS Energy Corporation (CMS)
5. Relationship of Reporting Person(s) to Issuer (Check all applicable)
() Director () 10% Owner (X) Officer (give title below) () Other
(specify below)
Vice President, Controller and Chief Accounting Officer
6. If Amendment, Date of Original (Month/Day/Year)
7. Individual or Joint/Group Filing (Check Applicable Line)
(X) Form filed by One Reporting Person
() Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Beneficially Owned

| 1. Title of Security | 2. Amount of Securities Beneficially Owned | 3. Ownership Form: Direct (D) or Indirect (I) | 4. Nature of Beneficial |
|----------------------|--|---|-------------------------|
| Common Stock (CMS) | 7597 1 | D | |
| Common Stock (CMS) | 2028 | I | 401(k) |
| Common Stock (CMS) | 195 | I 2 | Trust |

Table II -- Derivative Securities Beneficially Owned

| 1. Title of Derivative Security | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Underlying Securities | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership of Derivative Security (Direct or Indirect) |
|---------------------------------|--|--|--|--|
| Emp Stock Options - 2001 | 2/22/2001 | Common Stock (CMS) | 6000 | D |
| Emp Stock Options - 2002 | 7/31/2002 | Common Stock (CMS) | 10000 | D |

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| | | | | |
|------------------------------------|--------------------|------|--|---|
| Emp Stock Options - 2002 2/22/2002 | Common Stock (CMS) | 8000 | | D |
|------------------------------------|--------------------|------|--|---|

Explanation of Responses:

1. Total includes 6,875 shares of Restricted Stock of which 1,500 shares are nominal. (The actual number of nominal shares received will be determined by the passage of time and the achievement of performance objectives under CMS Energy Corporation's Performance Incentive Stock Plan.) Also includes 722 shares registered as Glenn P. Barba TOD The Glenn P. Barba Living Trust UA DTD 11/15/99.

2. Glenn P. Barba TTEE of the Glenn P. Barba Living Trust U/A DTD 11/16/99.

SIGNATURE OF REPORTING PERSON

Glenn P Barba

Glenn P. Barba (By: S.K. Smith or M.D. VanHemert, per Pwr of Atty filed with the SEC)