

Edgar Filing: HESS JOHN C - Form 4

HESS JOHN C

Form 4

February 07, 2003

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 WASHINGTON, D.C. 20549
 FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

() Check this box if no longer subject to Section 16.
 Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person
 Hess, John C.
 Engelhard Corporation
 101 Wood Avenue
 Iselin, NJ 08830
 USA
2. Issuer Name and Ticker or Trading Symbol
 ENGELHARD CORPORATION
 EC
3. IRS or Social Security Number of Reporting Person (Voluntary)
 144-46-6232
4. Statement for Month/Year
 02/06/2003
5. If Amendment, Date of Original (Month/Year)
6. Relationship of Reporting Person(s) to Issuer (Check all applicable)
 () Director () 10% Owner (X) Officer (give title below) () Other
 (specify below)
 Vice President, Human Resources
7. Individual or Joint/Group Filing (Check Applicable Line)
 (X) Form filed by One Reporting Person
 () Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Transaction Date | 3. Code | 4. Securities Acquired (A) or Disposed of (D) Amount | 5. Amount of Securities Beneficially Owned at End of Month |
|----------------------|---------------------|---------|---|--|
| Common Stock | 02/06/03 | A | 3,945 | 15,465 (1) |
| | | | | 16,179 (1) |
| | | | | |
| | | | | |

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Derivative Security | 2. Conversion or Exercise Price of | 3. Transaction | 4. Derivative Securities Acquired (A) or Disposed of (D) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities | 8. Put or Call |
|---------------------------------|------------------------------------|----------------|--|--|--|--|----------------|
|---------------------------------|------------------------------------|----------------|--|--|--|--|----------------|

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| Derivative Security | Date | Code | V | Amount | Date | Expir- ation | Title and Number of Shares |
|-------------------------------|---------|-------|------|--------|------|-----------------|-------------------------------|
| Options (Right to Buy) (2) | \$20.47 | 02/06 | A(2) | 23,668 | A | (2) | Common Stock |
| | | /03 | | | | | 23,668 |

Explanation of Responses:

(1) Vestings and deferral affect both Direct and Indirect holdings on first page of filing.

(2) Options are exercisable in 25% installments annually commencing the first anniversary of date of grant (except in the event of a change in control of Engelhard Corporation). All options expire 10 years after the date of grant.

SIGNATURE OF REPORTING PERSON

John C. Hess