Edgar Filing: Lloyds Banking Group plc - Form 6-K

Lloyds Banking Group plc Form 6-K October 09, 2015

# SECURITIES AND EXCHANGE COMMISSION Washington, D.C.20549

#### FORM 6-K

Report of Foreign Private Issuer Pursuant to Rule 13a-16 or 15d-16 of the Securities Exchange Act of 1934

(09 October 2015)

LLOYDS BANKING GROUP plc (Translation of registrant's name into English)

5th Floor 25 Gresham Street London EC2V 7HN United Kingdom

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F..X.. Form 40-F.....

Indicate by check mark whether the registrant by furnishing the information contained in this Form is also thereby furnishing the information to the Commission pursuant to Rule 12g3-2(b) under the Securities Exchange Act of 1934.

Yes ..... No ..X..

If "Yes" is marked, indicate below the file number assigned to the registrant in connection with Rule 12g3-2(b): 82-\_\_\_\_\_

Index to Exhibits

#### TR-1: NOTIFICATION OF MAJOR INTEREST IN SHARES i

1. Identity of the issuer or the underlying issuer of existing shares to which voting rights are

attached:

Lloyds Banking Group plc

ii

2 Reason for the notification

(please tick the appropriate box or boxes):

An acquisition or disposal of voting rights

Yes

An acquisition or disposal of qualifying financial instruments which may result in the acquisition

of shares already issued to which voting rights are attached

An acquisition or disposal of instruments with similar economic effect to qualifying financial

instruments

An event changing the breakdown of voting rights

Other (please

specify):

3. Full name of person(s) subject to the

notification obligation:

The Commissioners of Her Majesty's Treasury

iii

4. Full name of shareholder(s)

The Solicitor for the Affairs of Her Majesty's Treasury

(if different from 3.):iv

5. Date of the transaction and date on

which the threshold is crossed or reached:

8 October 2015

V

6. Date on which issuer notified:

8 October 2015

7. Threshold(s) that is/are crossed or

reached: Below 11%

vi, vii

8. Notified details:

A: Voting rights attached to shares

viii, ix

Class/type of Situation previous

Resulting situation after the triggering transaction

shares to the triggering

transaction

the ISIN CODE of of shares rights

Shares Voting Direct Indirect Direct Indirect

Rights xi xii

GB0008706128 8,551,600,174 8,551,600,174 7,826,937,384 7,826,937,384 10.97%

B: Qualifying Financial Instruments

Resulting situation after the triggering transaction

Type of financial Expiration Exercise/ Number of voting % of voting

Edgar Filing: Lloyds Banking Group plc - Form 6-K

instrument date Conversion Period rights that may be rights

xiii xiv acquired if the

instrument is exercised/ converted.

C: Financial Instruments with similar economic effect to Qualifying Financial Instruments

xv, xvi

Resulting situation after the triggering transaction

Type of financial Exercise Expiration Exercise/ Number of voting rights % of voting rights

instrument price date Conversion period instrument refers to xix, xx

xvii xviii

Nominal Delta

Total (A+B+C)

Number of voting rights

Percentage of voting rights

7,826,937,384

9. Chain of controlled undertakings through which the voting rights and/or the financial instruments are effectively held, if applicable:

xxi

UK Financial Investments Limited, a company wholly-owned by Her Majesty's Treasury, is entitled to exercise control over the voting rights which are the subject of this notification (pursuant to certain management arrangements with Her Majesty's Treasury).

**Proxy Voting:** 

- 10. Name of the proxy holder:
- 11. Number of voting rights proxy holder will cease

12. Date on which proxy holder will cease to hold voting rights:

The Solicitor for the Affairs of Her Majesty's Treasury is acting as

13. Additional information: nominee for Her Majesty's Treasury

14. Contact name: James Neilson

15. Contact telephone number: 020 7270 5813

### Signatures

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

## Edgar Filing: Lloyds Banking Group plc - Form 6-K

1	<b>r</b> 1	ſί	$\cap$	7	71	$\Box$	5	R	Δ	N	I	K	T	V	(	1

GROUP plc

(Registrant)

By: Douglas Radcliffe

Name: Douglas Radcliffe Title: Interim Investor Relations Director

Date: 09 October 2015